

THE  
JOHN ELLERMAN  
— FOUNDATION —

**ANNUAL REPORT**  
**2010 - 2011**



# Annual report of The John Ellerman Foundation for the year ended 31 March 2011

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# **Trustees, Staff and Registered Office of the Foundation**

## **Board of Trustees**

Richard Edmunds Chairman  
Dominic Caldecott  
Tim Glass (appointed 1 May 2011)  
Dr Brian Hurwitz MD  
Sue MacGregor CBE  
Peter Mimpriss CVO  
Hugh Raven (appointed 1 May 2010)  
Lady Riddell LVO BA  
Beverley Stott BEd (retired 31 March 2011)  
Diana Whitworth

## **The following Trustees are members of Committees at 31 March 2011:**

Finance Committee: Dominic Caldecott (Chairman), Richard Edmunds, Peter Mimpriss  
Remuneration Committee: Richard Edmunds (Chairman), Dominic Caldecott, Sarah Riddell, Beverley Stott (retired 31 March 2011), Sue MacGregor (from 1 April 2011),  
International Committee: Sarah Riddell, Diana Whitworth  
Risk and Audit Committee: Peter Mimpriss (Chairman), Sarah Riddell

## **Members of Staff**

Tim Glass	Director (to 8 October 2010) and Africa Workshop (to 31 January 2011)
Linda Crowhurst	Administrative Assistant
Jo Davies	Office Manager/PA
Barbra Mazur	Grants Manager
Patricia Yearley FCA, DChA	Finance Manager

## **Registered address of the Foundation**

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London WC2N 5NS

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**Registered Charity Number** 263207

## **Advisers to the Foundation**

### **Auditors**

Sayer Vincent, 8 Angel Gate, City Road, London EC1V 2SJ

### **Solicitors**

Kirkpatrick & Lockhart Nicholson Graham LLP, 110 Cannon Street, London EC4N 6AR

### **Investment Consultants**

Cambridge Associates Ltd, Cardinal Place, 80 Victoria Street, London SW1E 5JL

### **Investment Managers**

Charities Property Fund, Cordea Savills, Lansdowne House, 57 Berkeley Square, London W1J 6ER

Comgest Asset Management International Ltd, 46 St. Stephen's Green, Dublin 2, Ireland

Forester Diversified Ltd, Two Sound View Drive, Third Floor, Greenwich CT 06830, USA

GLG, One Curzon Street, London W1J 5HB

GMO UK Ltd, One Angel Court, Throgmorton Street, London EC2R 7HJ

Harding Loevner LP, 50 Division Street, 4<sup>th</sup> Floor, Somerville, NJ08876, USA

Henderson Global Investors, 201 Bishopsgate, London EC2M 3AE

Pine Grove Associates, 25 DeForest Avenue, Summit NJ 07901, USA

Schroder Investment Management Ltd, 31 Gresham Street, London EC2V 7QA

State Street Global Advisers Ltd, 20 Churchill Place, Canary Wharf, London E14 5HJ

Wellington Management International Ltd, Cardinal Place, 80 Victoria Street, London SW1E 5JL

### **Custodians**

JP Morgan Europe Ltd, 60 Victoria Embankment, London EC4Y 0JP

### **Bankers**

Coutts & Co., 440 Strand, London WC2R 0QS

Charity Bank, 194 High Street, Tonbridge, Kent TN9 1BE

COIF Charities Deposit Fund, 80 Cheapside, London EC2V 6DZ

## History of the Ellerman Family



*Father and Son – in the early 1920s.*  
(*Oxford Dictionary of National Biography*)

The funds that formed the basis for The John Ellerman Foundation came from the considerable fortune of two men, father and son, both of them called Sir John Ellerman. The first Sir John was born in Kingston upon Hull in 1862, the only son of a German corn merchant and shipbroker, Johann Herman Ellerman, who had emigrated from Hamburg in 1850. His only son, John, was born in Folkestone in 1909. Both John Ellermans were well educated, highly intelligent and industrious; neither welcomed any form of publicity. In fact, the younger Ellerman took his passion for privacy to extremes – he was rarely photographed and took no part in public life, though he had a wide circle of devoted friends, and he was a generous supporter of good causes.

The first Sir John, John Reeves Ellerman, was a trained accountant who went on to be the richest man in Britain. By 1916 he was worth about £55million, a sum unprecedented at the time – his fortune then could only be compared to that of John D. Rockefeller in the United States. His wealth was based on newspapers, breweries and shrewdly-bought property in central London, but most publicly it was based on shipping, a business he entered in 1892, when he took control of the shipping firm Leyland and Company. By 1917 Ellerman Lines formed an eighth of Britain's mercantile shipping tonnage, equal to that of the entire French merchant fleet. The first John Ellerman was also one of the most important investors in the British press, with major shareholdings in the *Financial Times*, the *Daily Mail* and the *Illustrated London News*. His baronetcy came in 1905 in recognition of the shipping he had provided to Britain during the Second Anglo Boer War. By the time of his death in 1933 – he had built up his vast fortune in less than thirty years - his estate was valued at probate at well over £36million, the largest by far ever left in this country up to that point. It was a uniquely successful career for the man who has been called the ultimate lone wolf in British business. His son who succeeded him to the baronetcy was to continue and build on his father's intense desire to escape the limelight.

The second Sir John was educated in Eastbourne, at Malvern College, and in Switzerland. He read for the Bar at the Inner Temple and entered the family shipping business at its headquarters in Moorgate; at the age of twenty-three he inherited his father's title and a great portion of his fortune which, even after death duties, was worth close to £20million – a sum equivalent in today's values to something like £1.5billion. The younger Sir John was considered an extremely capable businessman, but he was not really interested in running the family shipping business. His great passion in life, besides his adored wife Esther, whom he married

only a month after his father's death, was natural history, and in particular the study of rodents, on which he was to become a world expert. Between 1940 and 1961 he brought out five monographs and seventeen learned articles on the subject, including a three-volume 1,500-page monograph published by the British Museum (Natural History). The Natural History Museum in London is currently cataloguing and digitising its extraordinary collection of small mammals which includes specimens harvested and studied by Sir John Ellerman; over 11,000 have already been carefully catalogued but this is only a small fraction of the collection.

He was known as a thoughtful and generous employer. Just before the War part of the Ellerman business moved into Weston House (now Lake House) near Bagshot in Surrey, to escape the London bombing. Several employees from the London headquarters moved too, at Sir John's invitation, with their families, joining the Ellermans in an unusual form of communal living which lasted for the duration of the War.

Although the successfully modernised Ellerman shipping companies were not Sir John's main interest, they provided the Ellermans and their closest friends with an extremely comfortable and even luxurious means of travelling to and from Cape Town in specially-adapted passenger accommodation. Sir John and Lady Ellerman spent an increasing amount of time in South Africa, from the late 1940s, thereby managing to avoid both British winters and the attentions of the press. Sir John also enjoyed endless opportunities as a self-taught scientist to study his beloved small rodents. This led to a close relationship with the department of zoology at the University of Stellenbosch, and to an important reference book on South African mammals which Sir John wrote in Afrikaans, one of the then two official languages of the country, in which he had become fluent. The winter sojourns in the Cape also led to a strong interest in and generous donations to local charities, including those benefiting the blind and disabled. The John Ellerman Foundation still supports the Rachel Swart Fund for disabled people, founded by Sir John and named after a young woman born without arms or legs whose courage particularly impressed him. In the autumn of 2010 this Fund celebrated its 50<sup>th</sup> birthday and the Chairman of the John Ellerman Foundation, with one of the Trustees, attended a special lunch in Cape Town where a large proportion of the guests were able to join in due to motorised wheelchairs donated by the Rachel Swart Fund. The grand Edwardian villa above Bantry Bay which the Ellermans bought as a South African base with a fine view over the ocean is now a luxury hotel.

Shortly before his death in 1973 Sir John Ellerman transferred almost 80% of the shares in Ellerman Lines Ltd to grant-making trusts: the Moorgate Trust Fund (1970) and the New Moorgate Trust Fund (1971). In 1992 the Trustees amalgamated the two funds into The John Ellerman Foundation. Over the years the Foundation has continued to uphold the aims, interests and values of its main benefactor, while adapting to the changing needs of the modern charitable world.

## **Chairman's Introduction**

There has been much delay in tackling the huge national budgetary deficit and it is only now that the adjustments in cash terms are taking effect. The restrictions and cutbacks in both private and public expenditure are affecting the charitable sector in most, if not all, of its activities. Now that the government and local authorities, who account for nearly 40% of operating charity income, have fixed their plans and identified budget cuts, financial pressures on charities will inevitably increase in the medium term. Hence competition for funds from grant-making trusts, private individuals and the corporate sector will be even tougher than normal.

In 2010/11, reasonable confidence in investment markets and the additional needs of operating charities encouraged Trustees to restore the funding rate to 5% of the average market values that were used to determine our available endowment. This year it is intended to retain the rate at 5% as investment markets have, in spite of all the difficulties, held up, and the needs remain undiminished. There is an opportunity for both operators and grant-makers to restate the importance of the work and the enrichment to our communities with renewed vigour so that those who can help move forward with good will, a clear understanding and confidence.

There is an effort to improve all our activities, with grant-making at the forefront. This year's grant-making report, which is included in this Annual Report, gives further guidance to applicants, particularly as to the focus of Trustees and the factors which are likely to lead to a successful application. The aim is to get the grants to applicant charities with the minimum of delay and paperwork. Trustees do feel that many of those in need are being reached but realise that there are more calls for assistance that can be met effectively. The categories chosen reflect the philanthropic interests of our founder, the late Sir John Ellerman.

Internally, there are on-going efforts to refine our procedures. Initial review of applications by Trustees is increasingly collaborative. General guidelines for all applicants are clear and exclusions are listed to avoid a mismatch. Trustees with experience, particularly in the Arts, Healthcare and Conservation, are consulted early in the process. Certain financial points are covered in the guidance given to Trustees and staff before visits to all those making full applications. The aim is for the needs of operating charities, together with the commitment and skills of their staff, to shine through to the final decisions, having cleared up some of the sticking points which might be encountered.

2010/11 was the first full year of the Risk and Audit Committee. There is now a full risk register given to all Trustees. Away Days are useful in pinpointing issues that would benefit from more particular and strategic attention. A short meeting took place to prepare an agenda for an Away Day with prioritised points of concern. The full Away Day, however, has been postponed to allow time for the new Director to become established.

Investments by their very nature carry risks. The strategy's main elements are long-term, total return, well-diversified, specialist multi-manager with a preference for active management. A particular focus this year is inflation, now well above the Bank of England's target of 2%. Index-linked bonds were a good haven but the market was quick in rerating them to levels deemed by us to be somewhat excessive. The property percentage was increased and the holding in gold retained. The Finance Committee has been guided through many concerns and opportunities presented by our advisors, Cambridge Associates. The year-end nominal value of the endowment was slightly higher than the beginning of the year and having paid out £4.5million grants and £0.7million in expenses. In real terms after inflation the value fell.

Beverley Stott retired from the Board at the end of the financial year, having served 11 years. She also sat on the Finance Committee from 2000-06 and remained tireless in her work for the Foundation, carrying out innumerable grant assessment visits. Beverley's particular forte was reminding us all of the very real needs of those applying for help. Her empathy with the essence of applications is something we shall remember and seek to retain as central to the Ellerman Foundation.

It was decided by all Trustees to invite our former Director, Tim Glass, to become a Trustee and this will happen at the May 2011 Meeting. Tim retired as Director of the Foundation in October 2010. He had earned, through hard work, forensic research, superb management skills, diplomacy and a great sense of humour, the complete confidence of the staff and Trustees. Trustees felt that his skills in bringing together both operating and funding charities would be of great value to the Foundation. For some time we had hoped that charities would improve their reach through more cooperation and joint activities. Tim, as our Director, took a lead in bringing organisations together through the Association of Charitable Foundations (ACF) and their Issue Based Networks (IBN's). The Environmental Funders Network (EFN) saw him as an active participant. His last job was organising and chairing the Baring/Ellerman Workshop in Uganda last November. This was a unique networking event for people from ten countries across sub-Saharan Africa in which much was learnt, friendships formed and intent strengthened. He initiated the 'Ellerman Lunches', where charities in the Foundation's special focus areas, isolation amongst the elderly and disadvantaged youth, met opposite numbers to enhance understanding. Tim has a natural ability to take the lead and bring about constructive ways forward. With the appointment of a proven practitioner in Tim, the Trustees felt these particular skills would enhance the Board and underline our commitment to greater cooperation amongst charities.

This report will be my last as Chairman. In July, I will hand over the reins to Sarah Riddell. The last year has not been straightforward, for the staff in particular, as there has been no Director since early November. The response to this was magnificent and the staff sustained all our activities with devotion, hard work and sheer professionalism. The management of grants, finance and all the administration has been exemplary. The Trustees too have played their part, being available to help where necessary. The Finance Committee has been fully committed to the strategic aims and put in many extra hours to reach well-considered conclusions. The Joint International Development Committee, the Baring/Ellerman programme in sub-Saharan Africa, goes to enormous lengths to find really meaningful partners as beneficiaries. Considerable time has been devoted by Trustees and staff to find a successor to Tim Glass and retiring trustees, causing a demand for many extra meetings.

The new Chair, Sarah Riddell, has much experience in working to meet the needs of the sick and deprived as well as being a Trustee of long standing. I wish her a really constructive time in office and leave with total confidence in her creative imagination and ability to maintain and develop the scope of the Foundation. For myself, I leave with a real sense of loss but great gratitude for the time I have spent connected to the John Ellerman Foundation which has been a rich and rewarding period of my life.

A handwritten signature in cursive script that reads "Richard Edmunds". The signature is written in dark ink on a white background.

**Richard Edmunds – Chairman**

# **Trustees' Report**

## **Structure, Governance and Management**

### **Governing Document**

The John Ellerman Foundation is governed by a Scheme which was approved by the Charity Commissioners for England and Wales on 27 March 2002. This Scheme brought together the existing Foundation, which was formed in 1971, and the smaller Ellerman Will Trust, the General Charitable Fund, which was originally established following the death of the first Sir John Ellerman in 1933. The Scheme provides a wide remit of powers for the Trustees to carry out their duties and responsibilities.

### **Trustees**

The governing document allows for a maximum of nine and a minimum of three Trustees. There are currently nine serving on the Board. The Trustees have been granted incorporation by the Charity Commissioners (under the Charities Act 1993) as the Trustees of The John Ellerman Foundation Incorporated.

The first Trustee Board, established during the 1970s, consisted of Trustees personally selected by Sir John and Lady Ellerman and with strong links to the Ellerman companies. Since then, the selection, recruitment and training of Trustees have been developed to recognise the needs of a large grant-making trust.

Today Trustees are selected according to the skills, knowledge and experience which they can contribute to the Foundation's work. Existing Trustees identify the 'skills gaps' which need to be filled, such as those relating to a particular grant category or profession. A wide network of contacts at other trusts and of experts in the particular field is used to find suitable candidates to fill those gaps. Potential candidates are interviewed by the Chairman, a panel of Trustees and the Director.

The Chairman and Director are responsible for the induction of new Trustees. This involves meeting all staff and Trustees to learn about the Foundation's governance, history, work and objectives. A detailed induction pack is prepared containing relevant documents, such as the Scheme, Guidelines, recent Board minutes and Charity Commission guidance for Trustees. The Director also organises a presentation by the staff outlining the current strategy and policies in grant-making and finance.

The training of Trustees takes a variety of forms throughout the year in order to keep up to date with charity and investment matters affecting the Foundation. They may attend seminars on relevant fields of interest, conferences on general charitable issues and courses on particular skills.

### **Organisational Structure**

The Trustees hold Board meetings throughout the year, at approximately two-monthly intervals, mainly to discuss grant applications and decide new grants. The Board also makes decisions on strategy and policies, including those that are financial (under guidance from the Finance Committee), and on other matters which arise. These decisions take into account recommendations by staff, which are reported to Trustees in Board papers and at meetings.

The governing document permits the Trustees to appoint a Committee to act on their behalf, with a quorum of three Trustees for the purpose of making decisions. Minutes are provided for the other Trustees, which include details of discussions and recommendations.

The *Finance Committee* is responsible for financial and investment matters. It consists of three Trustees, one of whom is appointed Chairman, together with the Director and Finance Manager. It routinely meets at least five times a year and reports to the Trustee Board on its findings and recommendations. However, additional meetings may be held to be able to meet managers and to respond to the volatility of investment markets. The Committee's role includes recommending an appropriate investment strategy to the Board; determining and implementing investment policies; meeting the investment managers at least once a year to review their performance and consider their continuing appointment; reviewing the annual budget, quarterly management accounts and financial accounts; and ensuring that adequate internal controls and procedures are in place and working effectively.

The *Remuneration Committee* is responsible for reviewing all remuneration and benefits for staff and Trustees. It consists of the Chairman, the two longest serving Board members and the Chairman of the Finance Committee, meets at least once a year and reports to the Trustee Board on its findings and recommendations.

This year the Risk Committee took over from the Finance Committee the responsibility for overseeing the annual audit, thereby becoming *The Risk and Audit Committee*. This Committee is now responsible for reviewing all the Foundation's risks. It looks specifically at areas of governance, operations, grant-making and finance as well as health and safety issues and disaster recovery. It also meets the auditors to review the audit process.

The day-to-day management of the Foundation's affairs is delegated by the Trustees to the Director and staff team. They are responsible for ensuring that the Trustees' decisions are implemented within budget and in accordance with existing policy, and also for briefing Trustees on current trends in the charitable world and advising on how these may affect policy.

The Foundation's policies are continually being developed and refined. These are discussed at Board meetings and also in more depth at Away Days for staff and Trustees. Away Days are held approximately every two years, at a venue away from the Foundation's offices, to provide a forum to reflect on the future direction of the charity.

### **Risk Statement**

In broad terms, The John Ellerman Foundation faces risks in the following areas:

- Status and reputation
- Asset value and, therefore, grant-making capacity
- Confidential information, operational processes, IT systems and data
- Well-being and, *in extremis*, lives of staff and Trustees

The Trustees acknowledge their responsibility to consider risks to the Foundation. The decision in 2010 to give the Risk Committee the additional responsibility for overseeing the annual audit recognized the need for independence of the audit review and a better overview of risks across the whole Foundation. The Risk and Audit Committee meets at least twice a year and reports its findings to the Trustee Board for decision and approval. Under the main areas of activity reviewed specific risks have been identified, responsibilities confirmed and procedures set up to ensure everything reasonable is done to reduce and manage those risks. Trustees have confirmed that they are satisfied with the systems and internal controls that have been established.

On the investment side, mandates are carefully agreed with each manager within a well-diversified portfolio. Performance is monitored and reviewed at least quarterly by the Finance Committee. Similar processes are applied to grant-making to ensure grants are well placed and beneficiaries are regularly contacted and monitored. Systems are constantly reviewed and adjustments made where improvements can be identified.

All relevant procedures are included within the Foundation Handbook; these are checked and updated annually. An Emergency Disaster Plan and Health & Safety Review accompany the Annual Risk Assessment and are also included in the Handbook.

### **Conflicts of Interest**

Trustees and staff are expected to conduct the business of the Foundation with integrity at all times. A Conflict of Interest Policy is in place to ensure not only that every application for funding is treated objectively and on its merits but also that neither Trustees, staff nor their families receive any personal gain from business conducted by the Foundation.

Before each Board Meeting, Trustees complete a declaration of interest form which lists all applications about to be discussed. Where there is an interest, that Trustee, or indeed any staff member with a personal interest, withdraws from the room during the decision-making process. In addition, Trustees and staff complete an annual register of interests form. Any relevant gift or hospitality received by Trustees and staff is also recorded at each Trustee Board meeting.

### **Internal Controls**

Although the number of staff and volume of financial transactions may be lower than those for operational charities of equivalent income, the Trustees have a duty to ensure that adequate systems and procedures are in place to safeguard the Foundation's substantial assets. After assessing the control environment and the nature and extent of risk, both the Finance Committee and the Risk and Audit Committee ensure that appropriate internal controls are implemented and monitored and therefore that the risks are managed and controlled. The Risk and Audit Committee reports fully and regularly to the Trustee Board on its findings and the Trustees believe that the current internal controls are effective.

### **Objectives and Activities for the Public Benefit**

The Foundation's mission is to be and be seen as a model grant-maker to the charitable sector. It aims to achieve its mission by:

1. Managing its funds in such a way that it can both maintain its real capacity to make grants and operate in perpetuity and
2. Funding nationally-registered charities so as to encourage and support those which make a real difference to people, communities and the environment.

The Foundation's governing document sets out the framework within which the Trustees work to achieve these two aims, which are carefully managed to ensure that both are brought together within an overall cohesive strategy. Trustees confirm they have referred to the Charity Commission's guidance on public benefit when reviewing their objectives and aims, making any relevant decisions and reviewing grant-making policy.

### **Managing the Foundation's Funds**

The Foundation was formed from the initial generous donation by Sir John Ellerman. His endowment is the sole source of funds and an appropriate investment strategy is essential to ensure the Foundation achieves its aim of continuing in perpetuity. The Reserves Policy is effectively the same as the investment strategy.

The governing document permits Trustees to use both income and, at their discretion, capital of the expendable endowment on its charitable activities and to invest the Foundation's funds in such types of global investments as they see fit. These requirements, along with the aim to continue in perpetuity, form the starting point for developing the investment strategy.

### ***Investment Strategy***

The Foundation's investment aim is to manage its funds in such a way that it can both maintain its real capacity to make grants and operate in perpetuity. At the beginning of each year, the Finance Committee carries out an Investment Strategy Review, with advice from its investment consultants. All underlying assumptions, strategies and policies are systematically reconsidered to ensure that they remain appropriate and, if not, are changed. Recommendations are presented to the Trustee Board for approval. All aspects of the investment strategy are summarised in the Foundation's strategic document entitled 'Investment Principles and Policies'.

The Trustees believe a long-term investment strategy and a global Total Return approach are appropriate. As permitted in the governing document, capital withdrawals are used to supplement income. The investment strategy is believed to be suited to achieving good returns at an appropriate level of risk for the Foundation.

### ***Investment Policy***

To meet the long-term investment strategy, the investment portfolio is managed by both active and passive managers and is diversified across asset classes with varying degrees of liquidity, in a spread of geographical areas and industry sectors. As a result, the Foundation has a complex portfolio of fifteen investment and cash categories held with fourteen managers and banks at the year end. These investments have been acquired in accordance with the powers available to the Trustees.

The long-term strategic asset allocation was reviewed and agreed by Trustees in February 2011 as: 70% Equities and Hedge Funds, 15% Inflation-Hedging Assets and 15% Fixed Income. Diverse returns from equities, fixed income and property may dictate the need to rebalance around the 70:15:15 split. An asymmetric range is applied whereby rebalancing takes place if the Equity and Hedge Fund allocation falls below 68% or exceeds 74%.

During the financial year, the Finance Committee made some changes to the investments. In the summer of 2010, global equities were split between the GMO Quality Fund and Harding Loevner, a new manager for the Foundation, to provide a good balance of investment style. At the same time, the State Street passive funds were changed to a GDP-weighted benchmark to reflect the size of the respective countries' economies rather than their market capitalisation. In the autumn, the entire holding of index-linked bonds was sold as Trustees felt these assets to be overvalued. However, inflation remains a concern and different ways of combating the risk were considered such as commodities, which were discarded due to their long bull run and volatile nature. On balance, it was felt that an increase to property would be more suitable and would also provide the opportunity for increasing the income portion of the Foundation's total return. A new investment was therefore made in the Charities Property Fund at year end.

Equities are divided between the overseas equity funds, managed by State Street, GMO, Harding Loevner, Comgest, Henderson and GLG, and a UK equity fund, managed by Schroders. There are two funds of hedge funds, one run by Pine Grove and one by Forester. Property exposure is through two unit trust funds, managed by Schroders and Cordea Savills. The gold holding is in a bullion-backed Exchange Traded Fund and fixed income is in a Wellington global bond fund.

### ***Spending Policy***

The Foundation's primary purpose is to make grants to charities. However, the Foundation aims to exist in perpetuity and to be able to support charities with equally meaningful grants in the future. In setting the budget each year, the Trustees therefore recognise the need to balance available funds between current and future beneficiary charities. The resulting Spending Policy aims to maximise the amount in grants awarded each year, while remaining sustainable by linking expenditure to the value of the investment assets.

This Spending Policy limits annual total expenditure (including grants) to 5% of the value of the Foundation's funds, averaged over the last three calendar years. It was introduced in 2003 when investment values fell to their lowest recent point of £75million and the annual spend then was deemed unsustainable. However, in response to the possibility of a collapse in financial markets, Trustees made the difficult decision to reduce the spending rate to 4.5% for the financial year 2009/10 to conserve capital amidst the uncertainty.

In setting the budget for 2010/11, Trustees decided to revert to the 5% spending rate, in response to the improving global economy, while remaining mindful of continuing uncertainty. They felt it was important to be able to maintain grant spending and support charities which are facing increasing difficulties raising funds for their valuable work from statutory, corporate and voluntary sources.

### ***Socially Responsible Investing***

To recognise the link between the Foundation's investments and grant-making activities, Trustees agreed to exclude investment in tobacco-related companies wherever practically possible and Trustees endeavour to take environmental issues into account.

### **Funding Charities**

The governing document states that the Foundation's funds should be used for charitable purposes. This provides the Trustees with a wide remit for grant-making, except for two points which are noted. One is a restriction which states that, for historical reasons, the Foundation is not permitted to make grants for any purpose in those parts of the continent of America which lie south of the USA. The second point is that, whilst not detracting from the generality of the main object, Trustees may give particular consideration for the relief of those in need, hardship or distress, in particular by assisting with housing particularly in inner city areas, or by providing other facilities to improve the standard of living of such persons.

From this wide remit, the Trustees have focused grant-making into five categories to reflect Sir John Ellerman's spirit and interests but have also developed the areas of work to reflect changing circumstances and needs. Trustees achieve their objectives for the public benefit through their aim to fund 'charities so as to encourage and support those which make a real difference to people, communities and the environment'. They do this by having a clear grant-making policy which enables them to select from the many applicant charities those which most meet this objective.

Grant-making policy is regularly reviewed and is referred to throughout the application process. Trustees and staff use their individual expertise to develop policy. Trustees are confident that, having clear guidelines, application procedures and monitoring processes, the funds of the Foundation continue to be placed where the public clearly benefit and where that benefit most meets the Foundation's aims and objectives.

The Foundation aims to support a broad cross-section of registered charities doing work of national significance in the five categories of: Health and Disability, Social Welfare, Arts and Heritage, Conservation and Overseas.

The funds allocated to each category are planned to be:

Health and Disability	30%
Social Welfare	30%
Arts and Heritage	20%
Conservation	10%
Overseas	10%
<b>Total</b>	<b>100%</b>

Detailed guidance, outlining the main areas of funding and the application process, is provided in the General Guidelines for Applicants. These are available from the Foundation's website or by post.

The Foundation has a simple two-stage application process which aims to provide sufficient information on which the Trustees can make a decision, while recognising the considerable time and effort required from applicants. Grant applications are thoroughly reviewed, with input from both staff and Trustees on the purpose, need, benefit, management and finances of respective charities. Visits are made or meetings held with all second-stage applicants so that Trustees have a first-hand account of the charities' work. A detailed analysis of the finances of each applicant charity is also prepared so Trustees can take an informed view on the financial position of the charity.

The Trustees aim to continue to award significant grants to enable charities to make a real difference to the people they serve and in the work they do. However, the Trustees recognise the vital importance of more modest grants, particularly to new and small charities and will continue to support these where appropriate.

## **Achievements and Performance**

The Foundation continues to seek ways to improve the quality of its work and last year was no exception. Of course, opportunities to improve are numerous. Trustees and staff start by setting objectives for the year ahead for governance, administration, investment and grant-making, the last two being by far and away the most important for the work of a grant-making Foundation. This section will therefore be in three parts: a financial review, an investment review and a grant-making review. Later in the report, there is a range of short articles illustrating the work of a variety of charities which the Foundation helps to support.

### **Financial Review 2010/11**

The summarised accounts for the year ended 31 March 2011, incorporating the Statement of Financial Activities and Balance Sheet, and the Trustees' and auditors' statements on them, are included towards the end of this Annual Report.

#### ***Incoming Resources***

Total investment income was £1.3million, a 39% fall on the previous year's £2.2million. This is due to three reasons. First, there was continued pressure on income from almost all asset classes. Second, the investment in corporate bonds boosted income last year. Third, the Wellington global bond fund changed its policy to accumulate income within the fund.

The Foundation is permitted, in the Trust Scheme, to spend both the income and capital of the expendable endowment. In line with this, the Foundation operates a total return investment

policy, thereby recognising the importance of both income and capital returns. Income at 1.1% of total funds appears low but increases to 1.4% when accumulated income from the Wellington global bond fund is redeemed. Many of the pooled equity funds also retain dividends. However, Trustees are closely monitoring the yield from the investment portfolio as a whole because the reduction in income has resulted in the need to raise further funds from capital to fund expenditure.

### ***Total Expenditure***

Total expenditure for 2010/11 was £5.2million, which was 8% higher than that for 2009/10. By closely controlling office, administration and running costs, grants again represented 88% of total expenditure.

### ***Grants***

The Spending Policy links expenditure to the value of the investment assets, while factoring in a smoothing element to avoid wide fluctuations in the grants budget each year. By returning to the long-term spending rate, total grants were increased by £332,000 to £4.5million compared to 2009/10. Within this, the Foundation spent £29,000 on bringing charities in Africa together at a workshop in Uganda.

### ***Costs of Generating Funds***

As the Foundation has no source of income, other than investments, its direct costs of generating funds of £116,000 are made up of custody and consultancy fees as well as a proportion of staff and Trustee time. As from June 2009, all the Foundation's investments are now held in pooled funds from which fees are already deducted. The Trustees closely monitor all investment costs, including fees incurred within the pooled funds, against the benefits achieved through active management of the investments.

### ***Other Expenditure***

The cost of running the Foundation represents 12% of total expenditure. This is closely controlled by staff and Trustees to ensure that the maximum amount can be granted to charities, particularly in light of the pressures being faced by our beneficiary charities. Yet it is also recognised that a well-run office, a sound management structure and a thorough grant evaluation process are essential in order to be a well-informed and effective grant-maker.

### ***Balance Sheet***

In the past few years, the Foundation has seen its investment portfolio fall to its lowest point of £75million in March 2003 and bounce back to its highest ever portfolio value of £118million in June 2007. Following the relative stability of the past year, the total funds increased slightly from £117million at 1 April 2010 to £118million at 31 March 2011. Although this may seem a small increase, this value is after the Foundation had spent £5.2million on furthering its charitable objects.

## **Investment Review 2010/11**

### ***Investment Performance***

Each manager has an objective to outperform a specific market index and performance is monitored quarterly by the Finance Committee, with regular presentations from the investment managers. Performance varied across asset classes and managers. The total investments returned over 5.8%, though this fell short of the long-term strategic target, which is based on the spending rate of 5% plus inflation.

The Finance Committee has also established a 'simple' benchmark which reflects the traditional charity portfolio of 70% UK equities and 30% UK government bonds. This measure is used to establish the benefit of running the diversified and complex investment structure currently in place. Over the longer-term period of ten years, this has been worthwhile, though performance fell short of this benchmark over the last year.

The Trustees, advised by investment consultants Cambridge Associates, continue to consider and to select alternative opportunities to improve returns at an appropriate level of risk. The Foundation is a long-term investor, with long-term objectives, and only hires or dismisses managers after careful consideration of many factors.

### ***Social Investment***

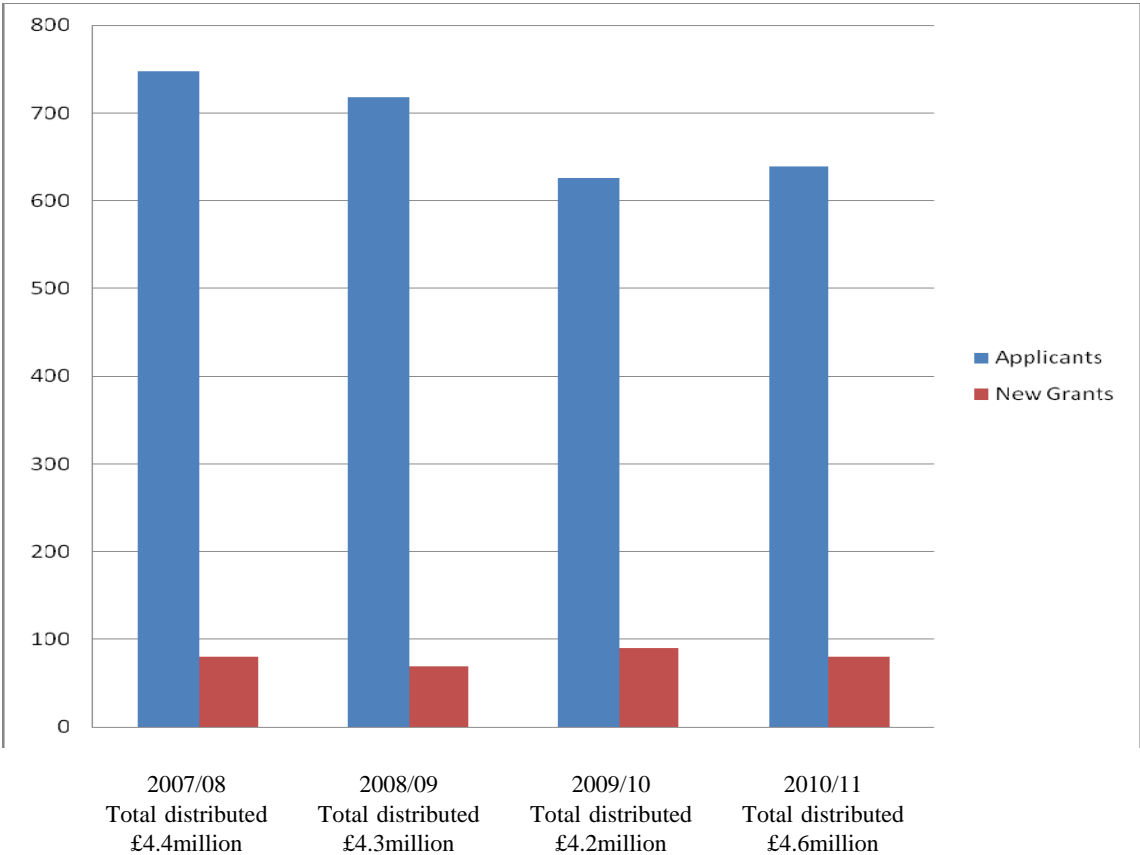
In 2007, the Foundation made an investment of £250,000 in the unsecured 10-year loan notes of the Charity Bank. This Social Investment is different from the rest of the Foundation's investment portfolio, as it aims to fulfil two objectives. First, it matches the charitable and investment aims of the Foundation by combining a social and a financial return. The investment reaches more organisations than conventional grants as it provides funding for the Bank to make loans to multiple charities. However, Trustees accepted that the financial return would be likely to be lower than that of other investments over the 10-year period. Second, in contrast to a grant and all things being equal, the funds will be paid back to the Foundation.

# Grant-making Report 2010/11

This Annual Report about the Foundation’s grant-making has two main objectives. The first is to review activity and identify patterns and changes. The second is to look to the future.

The grant-making capacity is determined, as ever, by the success or otherwise of the investments. In recent years, investment performance against a volatile market coupled with the spending policy has enabled Trustees to maintain more or less level grant expenditure since the significant jump above £4m in 2005/6. Despite the economic downturn, the outlook for grant spending next year will remain the same. This reflects both the dramatic recovery in investment markets since March 2009 and Trustees’ determination to give as boldly as we dare during what are still very tough times for operating charities.

**Figure 1: Application Volumes and Grant Expenditure – Year-on-Year Comparison**

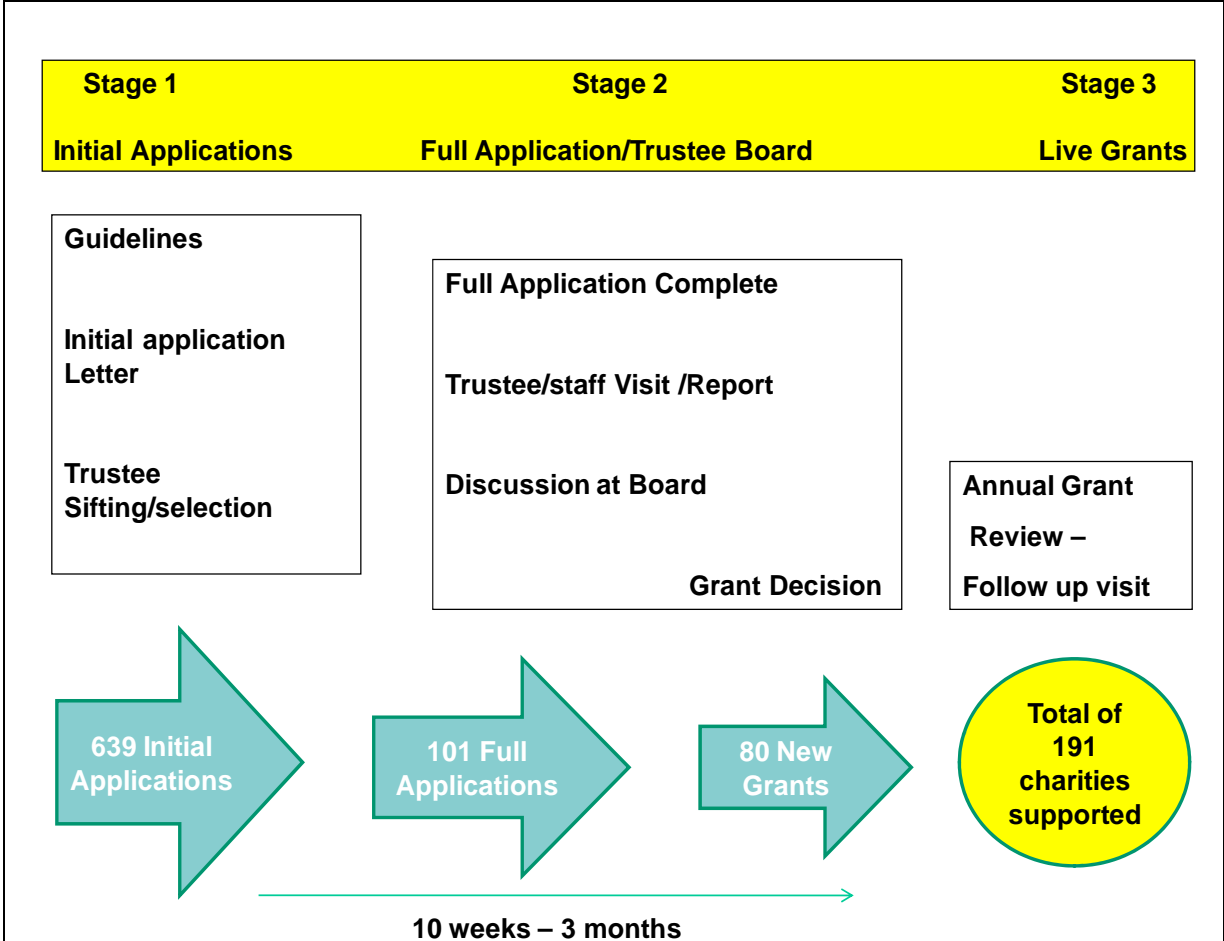


**Figure 1** reveals that the number of received applications increased slightly last year – but is still well down on the volumes of a few years ago. More charities are using the website, telephoning, e-mailing or meeting with us in the office to talk about eligibility for a grant, or seeking advice before sending in an initial application. We encourage them to continue to do this. Trustees also believe our guidelines are clearer. Nonetheless, around half the applications received remain outside these guidelines. Applications ‘outside guidelines’ are still typically from individuals and local or regional charities (neither of which we support) or from charities either registered or working outside our geographical remit.

There were 80 new grants in 2010/11, ten fewer than the year before. However the **total** number of live grants is slightly up on the previous year. None of this is especially significant.

The average grant is £48,000 paid over two years. This has been pretty consistent for some time. Most importantly for next year £4.57million has been allocated for grant expenditure, over £2million for new grants. This means that expenditure **on new grants** will be the same as last year. As ever, we continue to be many times oversubscribed. Trustees see no need to adjust the fund allocations to the five grant categories (shown on page 13).

**Figure 2: Application Process**



**Figure 2** illustrates our application process, including throughput and overall success rates. For applicants seeking a grant, as explained in the guidelines, there are two stages; first an initial application letter, followed either by a rejection or an invitation to submit a full application (the second stage). Of the total 639 initial applications received last year, half were within the guidelines.

Roughly **one third** (101) of these eligible charities were invited to submit a full application and in the end 80% of these received a grant. So the big hurdle to cross with us is the initial stage.

The initial application invites answers to a few straightforward questions on two typed A4 pages, plus a copy of the latest annual report. Relatively little effort may seem to be required, but this is misleading. All too often applicants simply fail to present a sufficiently clear and compelling case. Some write far too much; others cram the space with tiny font or virtually eliminate the margins, making the letter difficult to read. Many fail to tell us their story or illustrate their work clearly enough, or show how they match the main themes in the guide-

lines. We strongly prefer applications written in plain English and mark down those with jargon. For charities wishing to secure funds from this Foundation we cannot exaggerate the importance of getting the initial application stage right.

The preparation of a full application requires some but not huge additional effort. However, the chances of success at this second stage are good. Once the papers have arrived, we will organise a visit; this gives us a chance to meet applicants. For applicants it provides the opportunity to explain and show off their work. All but one or two full applications get through for discussion and decision at a Trustee Board meeting.

Last year, 80% of full applicants were awarded a grant (80 out of 101) and we hope that this success rate will continue. A total of 191 charities were funded during the year, many of course carried forward and in their second or third year of a grant. Two-monthly board meetings enable Trustees to deal with applications within ten weeks; most receive a quicker response. Occasionally an application takes longer, in which case we are usually in close contact with the applicant.

Trustees have long operated a policy of supporting core costs. Almost two thirds of new grants in 2010/11 were for core costs. The popularity of this is hardly surprising. From our perspective, where good leadership and first-class work are established and evident, it is gratifying to be able to help solve this tiresome funding issue, especially for smaller charities seeking to expand, manage change or just keep heads above water.

Applicants are encouraged to study the guidelines carefully before submitting an initial application, and again before completing a full one. In competition for scarce funds, charities which best meet the Foundation's declared preferences are favoured. In general, Trustees like to see work of practical benefit, cooperation between charities and widespread use of volunteers. We incline towards support for small and medium-sized charities. Well over half our grants are made to those with a turnover of between £250,000 and £5million. Within the Social Welfare and Health and Disability fields, our two largest grant categories, Trustees wish to support those benefiting the most vulnerable and disadvantaged.

### ***Credit Crunch.***

Although investment markets have bounced back, the legacy of the credit crunch remains. In the UK we expect this to continue to hit Local Authority and public funding of the third sector for some time to come.

As an independent Foundation, our response to this situation is this: we intend to continue to give away as much in grants as we have ever done. We recognise the financial pressures on operational charities and have decided we will incline more towards smaller charities (and avoid those with a £25m turnover or more) and seek to stay as faithful as we can to those charities we know and have funded in the past.

This means Trustees are likely to be more circumspect with new charities and new ideas - and probably less inclined to fund those which, though doing an excellent job, may hold strong reserves or enjoy steady income streams, or both. At the end of the day even the combined annual financial fire-power of all UK Foundations (at circa £3bn) will not be able to offset significant cuts in public spending on the charitable sector.

### ***Special Focus and Plans for the Future***

The Foundation continues to have a special focus on older people, concentrating on befriending in all its forms. Activities as diverse as tele-befriending, intergenerational housing projects, regular home visits and tea parties are supported. Over the past year the focus comprised 14 grants with a total of £359,334. Outside our own direct grant-making, the intention is to continue to promote, particularly within the philanthropic community, the needs of older people within the UK.

This includes extending well-established initiatives and introducing others. The Older People's Issue-Based Network (IBN), set up within the Association of Charitable Foundations, continues to flourish. Nearly 70 members are on the attendance list. Lunchtime seminars with guest speakers are arranged three times a year. We also arrange the occasional field trip to see work on the ground to enable Trustees and staff from foundations to learn more about realities of working in this sector. Last year we had a most instructive visit to Age UK Oxfordshire's facilities to learn first-hand about the particular issues facing the sector at this challenging time and to meet older people and listen to their experiences.

A third 'Ellerman lunch' on 25 November brought together 25 chief executives and senior staff from operational charities and funding organisations with the common purpose of tackling the huge problem of loneliness, isolation and poverty amongst older people in the UK today. The aim of these lunches is to provide an informal and enjoyable occasion at which guests can get to know each other better, share ideas about different approaches, and explore how these might be developed and worked on together.

Valuable lessons have been and continue to be learned through the special focus approach. In particular that:

- the most serious problems affecting older people (e.g. the cost of residential care, dementia, fuel poverty and issues around the current Health Bill) require vast amounts public money, in some shape or form
- small charities can make a real impact on loneliness and isolation, especially if they mobilise and train large numbers of volunteers
- understanding different ways of recruiting, training and using volunteers is key to expanding successful befriending models.

In addition we discovered that through attending national seminars on topics as varied as tackling loneliness, dementia research, the future of social care provision and media representation of older people we can learn more about the issues and became closer to those charities we support.

The experience of this initiative is starting to influence our thinking in other grant categories. As a responsive grant-maker we sometimes struggle to identify coherence across a given category of our grant-making. For example, in the field of Health and Disability, we are beginning to wonder if we should replicate the special focus idea that we have in Social Welfare. Although historically we are generalist funders – and there is no intention to change this - we can now see that having a particular theme or scheme within a broad category does not require us to abandon our generalist status. It is certainly instructive and enjoyable and appears to make a bigger difference to the most disadvantaged in our communities.

## **Review of a Selection of Grants 2010/11**

What follows is a series of short features based on a sample of the charities the Foundation has supported over the last 12 months. The idea is to bring to life the work they do and to give a feel for the benefits of their work for many individuals and for the environment. The features cover all the fields of interest; the words are those of the charities themselves.

We hope readers will detect our interest in organisations that involve and attract large numbers of volunteers and are prepared to work with others to change things for the better. Most of all we hope that you agree with us and are impressed by the purpose and passion of these charities and the rich variety of work we are privileged to support, as well as being reminded of the scale, difficulty and profundity of so many of the issues tackled.

# Selection of Grants to Health and Disability Charities

## Challenging Behaviour Foundation



### Our Aim

The Challenging Behaviour Foundation (CBF) is a national charity that wants to see children and adults with severe learning disabilities, who are described as having challenging behaviour, having the same life opportunities as everyone else.

### What is Challenging Behaviour?

Some children and adults with severe learning disabilities typically display behaviour which may put themselves or others at risk, or which prevents the use of ordinary community facilities or a normal home life. Often the challenging behaviour develops as a direct consequence of the individual’s communication difficulties. The needs of this group are often not well understood.

### Professional and Family Involvement

The charity was set up by a Family Carer and needs of families continue to be at the forefront of all the work we do; they co deliver our training and input into all information materials.

*“examples of real experiences are inspiring and reassuring”*  
*Participant on a CBF training course*



A Positive Behaviour Support Trainer and Family Carer Co Trainer delivering training together

### Providing Information and Support

The CBF provides information, support and training about challenging behaviour for both families and professionals. The John Ellerman Foundation is funding our family support work, which involves direct support to families by phone and email.

*“finding CBF was like a light at the end of what had been a very dark place”*  
*Parent of a child with challenging behaviour*

### Campaigning Work

In addition to the provision of information CBF seeks to provide a national “voice” for those with severe learning disabilities and their families. We do this through our own national campaign group and by working in partnership with other key agencies.



We help families to understand challenging behaviour and to communicate better

## Changing Faces



*Changing Faces* is the leading UK charity that supports and represents people of all ages who have disfigurements to the face, hands or body from any cause, of which there are at least 1.3 million in the UK.

People's discomfort around disfigurement, and their negative reactions to it, can make life very difficult for those affected, leading to serious psychological and social problems, for which there is limited support within the current NHS, education and employment settings.

The aim of our Adult Service (AS) is to provide sensitive, empowering and practical support services for anyone with a disfigurement, and their family. We do this through the provision of our FACES package that enables those affected to gain the life-skills to live successfully with their condition.

- Finding Out** – gain realistic information about treatment options
- Attitude-building** – develop a positive outlook for the future
- Counselling** – receive emotional support
- Exchanging** – share experiences with others
- Social skills training** – learn strategies to manage others' reactions

Our goal is to assist them to feel confident, able to deal with social encounters of all kinds (in the street, workplace, and in all their relationships), able to make informed decisions about their treatment options, and gain the self-belief to fulfil their potential.

We work with volunteers and other voluntary organisations/support groups to disseminate our evidence-based knowledge, and our work is informed and supported by a Young People's Council and other users.



**Flora Cox:** "Sharing my experiences with one of *Changing Faces*' counselling specialists helped me talk through my pain about my face and find peace within myself."

## Jo's Cervical Cancer Trust



Nearly 3 women a day will die from cervical cancer in the UK whilst each year around 2,800 women are newly diagnosed, facing an uncertain future. In addition, some 300,000 women a year are told they may have a cervical abnormality that might require treatment.

Jo's Cervical Cancer Trust is the UK's only charity dedicated to those affected by cancer abnormalities or cervical cancer. We were established in 1999 and since then many thousands have benefited from our support.

The impact of a diagnosis cannot be underestimated and for those that survive, many will go through invasive and painful treatments, suffering ongoing side effects and losing the ability to have children – all of which can have detrimental and far-reaching effects on relationships, their ability to work and increase feelings of isolation.

We offer a range of online and face to face services including: a Helpline (0808 802 8000); Online Forum; Local Support Groups; Awareness Programmes; online 'Ask the Expert' advice and Let's Meet events.

Volunteers are the lifeblood of our support services. Each volunteer has experience of cervical abnormalities or cervical cancer either directly or as a health professional. As a result, they are well placed to provide information both face to face and on the phone and their 'insider' knowledge and empathy is recognised and valued by our users. We are now looking to expand our face to face services and train more volunteers to ensure as many women as possible are aware of and have access to the support we can offer.



Newcastle Support Group volunteer leaders

Training volunteers to run local support groups and a free national helpline

## WellChild

Help for seriously sick children and their families in the UK



WellChild is the national UK children's charity dedicated to helping sick children and their families as they deal with the

consequences of serious illness and life-threatening complex conditions.

Due to improvements in medicine and medical equipment, more children who are born with or subsequently develop serious illnesses are surviving into adolescence, and WellChild's programmes of Care, Support and Research have been developed to meet the resulting escalating need for support.

Our WellChild Children's Nurses provide essential care and support to the families of children and young people with a wide range of serious conditions, and their particular focus is to enable the children they support to leave hospital and receive the specialist care they need at home. It is widely acknowledged that whilst some hospital stays are essential this is not always the case. In addition, being able to receive care at home reduces the practical, emotional and financial impact on the child's family.

Our vision is for an expanding network across the UK, including a Nurse in Eastbourne part-funded by the John Ellerman Foundation, to ensure that as many seriously sick children as possible have access to a WellChild Children's Nurse.

"Seeing parents' smiles return, and witnessing their growing confidence in their ability to look after their own child once again, is one of the biggest rewards of my job. But the best reward of all is seeing the difference I make to the children themselves." Lucy Andrews, WellChild Children's Nurse.



Wellchild Nurse Lucy Andrews and Vivien

## Selection of Grants to Social Welfare Charities

### Care and Repair England



The aim of Care & Repair England is to enable disadvantaged older people, particularly low income home owners, to live independently in their own homes for as long as they choose, primarily through practical housing help such as repairs and adaptations.

The age group most likely to be living in sub-standard housing are older home owners and private tenants aged over 75.

Care & Repair England works collaboratively with independent local service providers to develop ways to reach isolated older people facing housing difficulties. Two recent initiatives include:

#### *If Only I Had Known.....*

Illness or accidents resulting in hospital admission can be a critical point for older people making decisions about their housing and care. All too often isolated older people have little information about their options. Our *'Home from Hospital'* information packs placed in bedside cabinets and ward visits by local Care & Repair staff are enabling older people to obtain the housing help that supports returning home or moving to a more suitable property.

#### *Housing Self Help by and for Older People*

*'Housing Options in Later Life'* workshops and training for active older people, volunteers, care workers and health staff result in trainees passing on information about housing and care to more vulnerable and isolated older people.



Three quarters of older people are now home owners, low income owner-occupation is at an all time high, but statutory help with home repairs has fallen to a record low. The work of Care & Repair England has never been more necessary to bring about lasting improvements to older people's homes, and hence to their quality of life.

*'We want to live in our own home without being pressured to move'*  
Mr and Mrs James were better able to live independently after essential home repairs were carried out by Care & Repair Blackpool

## Leap Confronting Conflict



*“Remember you? You saved my life”*

- *Former gang member/Professional youth worker, four years after Leap*

Leap’s approach to youth and conflict management has evolved over 24 years of work with young people and the adults who support them.

Leap’s vision is of a nation of young people resolving conflict in their lives and communities; and supporting their peers to achieve the same. We believe that young people are the solution.

We involve young people in all aspects of our work. From first meeting a young person as part of a conflict audit in school or training in prison, there are many opportunities for each young person to work with us including peer mentoring, fundraising, and qualifying as a young trainer. Our work is best delivered in partnership and we have a track record of successful relationships across the youth justice and education sectors.

Our John Ellerman Foundation grant has meant we can deliver *Improving Prospects*, our community programme designed to open doors into meaningful and lasting volunteering/employment pathways for 150 vulnerable young people over three years. The young people progress through intensive training in self-awareness and personal development into practical techniques in group work, peer leadership and mentoring and “graduate” into community placements – crucially, back in their home communities – supported by Leap practitioners throughout.

We anticipate many positive outcomes, e.g., reduced violence and increased stability in communities; greater numbers of young people in training or employment; a higher regard for young people. We are gathering learning in preparation to take *Improving Prospects* UK-wide.



Leap-trained young people delivering a leadership speech



Pilotlight believes that small charities are able to help with the toughest problems in our communities. They are often set up by practitioners who know their community well and are able to provide bespoke solutions to problems that they are passionate about solving. If successful, their charities grow and they end up running small businesses. Business may not be their expertise, but it is Pilotlight's.

Pilotlight helps those small charities grow. We place teams of volunteer senior business people, 'Pilotlighters', to work with them using a managed process called Pilotlighting. Smaller charities grow double the sector average as a result of the Pilotlight process.

"Pilotlight has given us so much confidence. The level of expertise was very high and we got the help we needed to go forward in developing a social enterprise. Our Pilotlighters are brilliant and it's a wonderful process."

**Elaine Allison, Safeguarding Children Services**

"You can't buy this type of contribution and expertise. The Pilotlighters bring such a high level of skills – it wouldn't be possible for me to get those skills any other way."

**Jackie Barnes, Globetown**

Pilotlight's own story is one of growth too. We started working with a handful of charities in London and now work with over 60 charities a year across the UK. We need to make sure the quality of our service is consistent and keeps improving. The John Ellerman Foundation has helped us achieve this by match funding the cost of our Head of Project Management. Thank you, John Ellerman.



Pilotlighters work with Centre of the Cell, a science education charity in Whitechapel.

## Working Families



Working Families, uniquely, works with employers and employees to help parents and carers find a better balance between their work commitments and home responsibilities.

It costs three times as much to bring up a disabled child and parents not only need salaries but participating in the workplace helps maintain their self-esteem during what can be a very protracted period of caring. Working Families' *Waving not drowning* project supports parents who are trying to combine paid work with caring for their disabled children by providing a free newsletter three times a year, a monthly e-bulletin, a dedicated helpline, publications and events.

Jenny's was a typical helpline call. She was on the point of resigning from the job that she loved and which brought in some very welcome income to the family. Her local authority's summer play scheme would take her disabled child but it only covered two weeks of the summer holidays and the hours were nothing like a whole working day.

'On chatting to Jenny I learned that she had a toddler as well as her disabled eight year old. I was able to tell her about her right to four weeks a year parental leave for each child. It's unpaid, but when I explained that her loss of income would eventually be reflected to some extent in her Working Tax Credit award she realised that she could afford to take sufficient leave to get through the summer, keep her job and enjoy some time with her children.'



'Job-seeking mother pictured in Working Families' publication 'Getting into Work''

## Selection of Grants to Arts and Heritage Charities

### Artangel

# Artangel

Artangel commissions and produces exceptional projects by outstanding contemporary artists in surprising sites and situations. Crossing boundaries between different art forms and new modes of presentation, it gives artists the opportunity to imagine the unimaginable, redraw the borders of art and inspire those who experience it. Projects have included Roger Hiorns's *Seizure*, a take-over of a one-bedroom council flat by blue copper sulphate crystals which grew over every surface of the space to create a strange and compelling new world and was visited by over 40,000 people; Alan Kane's *TV Life Class*, which took the life class model out of the privacy of the studio and into the nation's living rooms on daytime television, reaching over 800,000 viewers and encouraging a non-arts specialist audience to participate in life drawing; and Clio Barnard's *The Arbor*, a fascinating film that fused narrative and documentary, based on the life of playwright Andrea Dunbar, which used actors to seamlessly lip-sync the words of the Dunbar family as they relived painful memories of life on the Buttershaw estate in Bradford.

The Artangel Collection is a major new initiative which launched in spring 2011 and has been developed with a range of partners to bring Artangel's moving image works to a much wider national audience. New work will be commissioned in collaboration with two regional galleries, the Whitworth Art Gallery in Manchester and Ikon Gallery in Birmingham, and existing works will be shown by a network of partners throughout the country. The initiative will enable audiences to experience important works which have until now remained largely unseen outside London.



Francis Alÿs, *The Nightwatch* 2004, photo credit Rafael Ortega

## Oily Cart



Oily Cart was established 29 years ago and is renowned for creating theatre for two audiences who are often under-provided for in terms of cultural activity: the very young

(under 6's) and young people aged 3-19 with Profound and Multiple Learning Disabilities (PMLD) and/or an Autistic Spectrum Disorder (ASD).

Challenging accepted definitions of theatre and audience, we create innovative, multi-sensory and highly participatory productions by transforming everyday environments into colourful, tactile 'wonderlands'. Using hydro-therapy pools and trampolines, aromatherapy and puppetry together with a vast array of multi-sensory techniques, we create original and highly specialised theatre for our young audiences.

We have been told that our work encourages communication in these young people, many of whom have no verbal language, but respond in a variety of small but significant ways to our productions.

*"I would love to see children with autism taught in this type of stimulating environment. My son was very different here. I work with families of disabled children and pray this type of sensory theatre was available to everyone and developed to incorporate and enhance communication."* Parent on *SOMETHING IN THE AIR*.

Some carers have gone on to employ the multi-sensory techniques in their own teaching or everyday routines, in order to elicit positive responses from their young people. As a result the teachers and carers can be re-energised and inspired in their work: *"an event of this standard can motivate those who face the challenge of supporting children with special needs, which can be quite draining work"* Teacher on *DRUM*.

The John Ellerman Foundation provides essential core salary support for the Production Manager, which ensures we can maintain our high quality production values.



Oily Cart and Ockham's Razor's collaboration *SOMETHING IN THE AIR*

## The Opera Group



throughout the UK and for our vision of opera as a vibrant living art-form. Our work is critically praised for both its vivid theatricality and its high musical standards. We work in collaboration with partners such as the Young Vic and the Royal Opera House, touring between two and four productions each year across the UK and internationally.

The Opera Group is emerging as one of the UK's most innovative commissioners and producers of opera. We are acclaimed for performances on tour

We develop new operas in partnership with other arts organisations and increasingly with non-arts organisations. Our partnership model of working involves two main aspects:

- Incubator – our process of allowing new work the time and conditions to develop and mature. New pieces are developed and researched through workshops, discussions with experts in the relevant sector and feedback from peers over a number of years. We believe this joined up approach not only enriches the artistic work, resulting in a production that is fully researched and created to the highest artistic quality, but also enables contemporary opera to reach new and wider audiences.
- Insight – our programme of public engagement, education, outreach and participatory work. Insight allows audiences to investigate ideas through music, theatre and discussion, and gain a deeper understanding of themes being explored in our productions as well as offering opportunities for young artists to work alongside our professional artists.

Over the next two years, support from the John Ellerman Foundation will allow us to produce four opera projects including two new commissions. We will also be able to incubate new projects for the future, build partnerships with more non-arts organisations and engage more people as audience members and participants in our work.



Elizabeth Sikora, Dave Hill and Rachel Hynes in *The Lion's Face* (2010) by composer Elena Langer and librettist Glyn Maxwell. The opera explored the issues around dementia and was developed with our partners the Institute of Psychiatry (Kings College London) and the Alzheimer's Society.

Photo by Alastair Muir.

## Royal Court Theatre



*'Without the Royal Court I would, without a shadow of a doubt, never have attempted to write a play. The support, inspiration and talent I encountered there made me decide to become a full time playwright.'*

**Anya Reiss, playwright & Royal Court Young Writers Programme graduate**

The Royal Court is the UK's leading new writing theatre. It aims to find and produce new plays which are original, contemporary and challenging and create the conditions for writers, nationally and internationally, to flourish. Established in 1956, the Royal Court produces 12 - 14 plays per year across two theatre spaces in Sloane Square as well as transfers and tours in the UK and abroad, taking its work to the widest possible audience.

The plays on stage are just the tip of the iceberg. The Royal Court is a writers' theatre and, behind the scenes, an extensive play development programme offers writers the tools, confidence and opportunities they need to thrive. Activities include an un-matched commitment to read and respond to over 3,000 scripts every year, writers' attachments, residencies, workshops, readings, playwriting groups and outreach projects targeted at under-represented or disadvantaged communities, and a biennial Young Writers Festival. The Royal Court's Literary Manager, whose post is supported by the John Ellerman Foundation for the next two years, develops a strong relationship with each writer we work with, helping them to hone their talent and forge a career in playwriting.

Such an approach has enabled the Royal Court to nurture generations of playwrights with many of the UK's leading dramatists learning their craft under the theatre's tutelage and guidance. Today, the Royal Court's writers development work fills the theatre's stages with fresh, original voices, feeding into the wider cultural landscape and ensuring that the theatre's future will remain as iconic as its past.



SPUR OF THE MOMENT by **Anya Reiss**, written aged 17.

Winner of "Most Promising Playwright" at Evening Standard and Critics' Circle Awards 2010

## Selection of Grants to Conservation Charities

### Forum for the Future



### Greening Britain's Cities

Forum for the Future is a non-profit organisation working globally with business and government to create a sustainable future, building creative partnerships and developing practical innovations to change our world.

Our Bristol programme showcases practical projects to help make Britain's cities greener:

- Showing how we can make our homes extremely energy efficient.
- Producing a route map for how we create local, healthy, affordable urban food.
- Launching a walking website that removed over 22,000 short car journeys from city streets.
- Providing practical help for businesses who are reducing their carbon emissions by at least 10% over four years.

The John Ellerman Foundation is helping us expand this work, with core funding towards a Senior Sustainability Officer in Bristol to focus on local food and greener living.

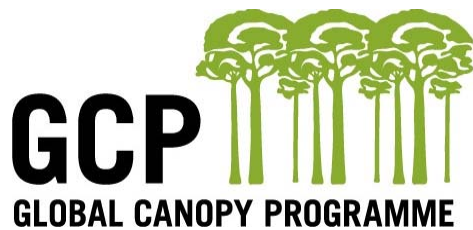
In collaboration with urban growing groups, mostly in deprived communities, the first ever 'Get Growing Trail' in June will see volunteers across the city open their garden gates and community plots for a special public open day of tours, advice and family fun, showing people how to start growing their own veg and how to get involved with their local community group.

A 'Sustainable Living Zone' will feature for the first time at Bristol's annual Festival of Nature, visited by 36,000 families over a weekend, directly promoting more sustainable ways of living at home as a positive, desirable lifestyle, and connecting more people with the wider ambitions to create a sustainable city.



Volunteers at the community allotment in the deprived Hartcliffe area of Bristol preparing for the Get Growing Trail open day in June.

## Global Canopy Programme

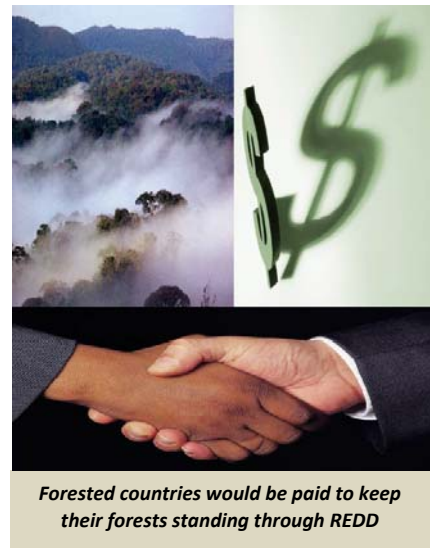


### Why Forests?

Now more than ever before forests are critical to humanity's continued well-being and prosperity. If deforestation continues at current rates the negative impacts on energy, food, water and political security will be immense. Companies and Governments which recognize and account for the true economic value of forest, and natural resources generally, will be the best placed to continue on a development trajectory that is sustainable and equitable.

### What we do

The GCP works at the Forest-Climate interface, leading the change in thinking about forests over the past few years from a nice-to-have to a crucial 'eco-utility'. In collaboration with our expert academic, policy and business networks worldwide, we have for the last ten years been quantifying scientifically and economically the considerable ecosystem services generated by standing forests to provide the evidence base for their role in wealth creation from forest communities to global businesses. Long term we see forests as a proxy for an emerging green economy in which using, maintaining and restoring natural capital should increasingly be valued alongside using human and financial capital.



### Our Programmes

To achieve our objective we work in several areas simultaneously;

- **Science:** demonstrating evidence of the economic worth of tropical forests;
- **Policy:** facilitating the enabling frameworks necessary to value them whilst still standing;
- **Finance:** developing instruments designed to harness capital to the cause of conservation;
- **Business:** increasing understanding of what drives deforestation and what can be done to reduce it;
- **Communities:** investigating mechanisms which will equitably reward local people for keeping their forests standing;
- **Communications:** delivering targeted messages to key stakeholders to generate political will.

## Orangutan Foundation

The Orangutan Foundation is the foremost orang-utan conservation organisation working to save Asia's endangered great ape. The approach of the Orangutan Foundation goes beyond that of purely protecting the orang-utan. Critically it also includes recognition that orang-utan habitat is unique in its richness of biodiversity and crucial for local communities, who are as dependent on the forest as is the orang-utan.



The Orangutan Foundation prioritises programmes where local partners can take the lead role and this is exemplified by our long-standing partnership with Yayorin, a small Indonesian NGO.

In 2006 Yayorin with support from the Orangutan Foundation established an Environmental Centre. This centre together with 'The Alternative Agriculture' programme offers environmental educational for schools, local community groups and farmers. The focus area is Central Kalimantan, Indonesian Borneo, which contains the largest areas of critical orangutan habitat.

The people who live in the region have to make vital decisions about their land, (whether to sell to oil palm companies, lease to timber concessions, and how to farm it). Through increased education and awareness we help people to understand the future implications of their decisions. Yayorin is committed to finding alternatives to traditional farming methods which are based around the destructive practice slash and burn farming. This is done through agro forestry and demonstration plots. These examples show that better yields can be achieved, on the same piece of land, reducing the need for local farmers to clear further forest.

Most importantly sustainable agriculture will improve socio-economic conditions for all sectors of the local communities.

The Orangutan Foundation acknowledges the support of the John Ellerman Foundation.

Local groups are shown the sustainable farming demonstration plots at the Environmental Education Centre run by the Orangutan Foundation



## Small Woods Association



Small Woods Association is the UK's leading woodland charity linking people and woodlands. We have 2000 members who are interested in the future of these little bits of ancient Britain and how they are used and visited by people.

Small woodlands make up half of England's woodland cover, and their history as woodland sites often goes back centuries. They are easily overlooked, yet they provide interest for their owners, homes for wildlife and a productive base for small woodland businesses. Our small woods are under threat from development, from garden encroachment, and from lack of knowledge about how to care for them. Skilled woodsmen are few and those that remain are ageing. Few young people are joining the sector for a career, mainly because there are so few ways into the sector. As a result, many small ancient

woodlands are neglected and unmanaged, declining in value as habitat and for timber productivity. Ancient woodland flora species such as the primrose and wood sorrel have declined by 34% in the last 20 years.

Small Woods Association works to deliver solutions to the threats to small woodlands. We provide training, advice and signposting for woodland practitioners,



Mallets – The Green Wood Branch make willow butterflies outside the Centre's cruck barn

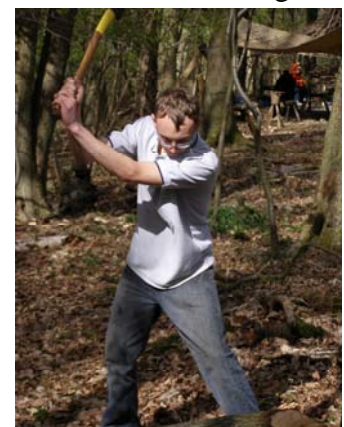
and we offer routes into woodland careers for young people through training and apprenticeships.

We provide programmes for disadvantaged groups including long term unemployed people, alienated young people, prolific offenders and those with cardiac or other chronic health problems, providing exercise, training and work experience, healthy outdoor eating, social interaction and supportive staff for as long as people need help.

Some of the people we help are inspired to take up woodland work as a career, and repay our help by helping us to achieve our goal, the better recognition and management of our small woodland resource.



Woodtools – Week in the Woods events help to select people for the three year Coppice Apprenticeship scheme



A young enthusiast puts some surplus energy into preparing timber for making products

## Selection of Grants to Overseas Charities

### Busoga Association



Busoga Association UK is a charity started in 1996 to address issues that the community were facing as new comers trying to integrate and accustom in the host country, promote culture, health and social care. Our primary focus is to improve the quality of life of our members living in the UK and Africa, through: advice, assistance, representation, counselling, and translating/interpretation services. We provide education, IT training and cultural development. BAUK support Women's Organisations in Uganda in tackling development challenges, organisations such as Jinja Women's Association (JWA) addressing inequalities and access to education opportunities for young girls, Ibulanku Women's Project (IWP) addressing women's limited access to credit and livelihood opportunities, Slum Women's Initiative for Development (SWID) addressing women's and migrants' access to decent shelter and livelihood in Jinja Slums, and Women's Emancipation Initiatives (WEI) whose vision is to see Women in Northern Uganda enjoy the same rights and access to basic services and opportunities as other citizens in Uganda.

The 23 year old rebel war by various groups, notably the Lord's Resistance Army (LRA), led to the displacement of over two million people into Internally Displaced Camps (IDP), away from the rebels who had developed tactics of abduction, rape and murder, torching shelters and imposing fear. Economic opportunities and provision of services were inadequate; WEI is providing and improving women and girls' access to education, resources, resettling and other opportunities that would lead them to overcome barriers in acquiring equal participation in society.



*Women during a training session in tailoring, (WEI)*

## Article 19



Established in 1987, ARTICLE 19's work is driven by a conviction that freedom of expression and the right to know lie at the heart of human rights, democracy and development. ARTICLE 19 is a world class pioneer in applying a free speech approach to all aspects of policy, including development, public health, the environment, sexual, reproductive and minority rights. The free flow of information strengthens mechanisms to hold governments to account for their promises, obligations and actions, contributes to sound institutions of economic and political governance and increases knowledge and participation within a society. With offices across the world and in collaboration with approximately 90 partner organisations, ARTICLE 19 strengthens national capacities, and provides legal and policy expertise and analysis to encourage freedom of information and expression globally.

ARTICLE 19 has for two decades implemented projects in Africa on freedom of expression (FOE) and the right to information (RTI). *'Sharing vital information: Empowering the displaced in Kenya'* is a current project addressing the problems faced by internally displaced persons (IDPs) in four key regions of Kenya by empowering IDPs to obtain and utilize the information they need to assert their rights to access resettlement packages and healthcare, education, housing, food, farming inputs, clean water and sanitation. ARTICLE 19 is working closely with the Internal Displacement Policy Advocacy Centre (IDPAC), to ensure that the skills and tools needed to achieve this are embedded within all 16 CBO members of this network. The project has a strong advocacy component and engages with a wide group of IDP stakeholders. That, by 2012, the rights and needs of IDPs will be reflected in Kenyan policy processes and frameworks will be one small step towards greater freedom of expression globally particularly in terms of the expression of minority groups.



Summit IDP camp in Molo District, Rift Valley Province, Kenya.  
The camp has been there since 2008 and families are still living in these conditions.

## LIST OF GRANTS MADE IN THE YEAR ENDED 31 MARCH 2011

The list includes reference to future intended payments which are conditional upon the receipt of satisfactory reports.

### GRANTS TO HEALTH AND DISABILITY CHARITIES

<b>Action for ME</b>	<b>£20,000</b>
Third of 3 payments towards the cost of the Telephone Support Line, benefits advice, and awareness-raising	
<b>Afasic</b>	<b>£20,000</b>
Second of 3 payments towards the core costs of supporting children and young people affected by speech, language and communication impairments	
<b>Aspire</b>	<b>£25,000</b>
Second of 2 payments towards core funding to support the seven part-time Aspire Independent Living Advisors, who assist newly spinally injured people	
<b>Auditory Verbal UK</b>	<b>£25,000</b>
Third of 3 payments towards the cost of employing an additional trainee Auditory Verbal therapist	
<b>Back Care</b>	<b>£20,000</b>
First of 3 payments towards the role of Head of Information Services who will deliver, coordinate and manage Back Care's products and services	
<b>Beat - Eating Disorders Association</b>	<b>£21,000</b>
Third of 3 payments towards the cost of establishing an online forum for the families of people with an eating disorder	
<b>Bladder and Bowel Foundation</b>	<b>£30,000</b>
Second of 2 payments towards core funding	
<b>Brain &amp; Spine Foundation</b>	<b>£25,000</b>
Third of 3 payments towards the cost of the helpline service, information and support materials, and the website	
<b>Breast Cancer Haven</b>	<b>£21,000</b>
Third of 3 payments towards the cost of part-funding the post of Clinical Director	
<b>British Liver Trust</b>	<b>£35,000</b>
First of 2 payments towards core costs so BLT can continue to provide patient support services to their beneficiaries	
<b>British Lung Foundation</b>	<b>£30,000</b>
First of 2 payments towards the Breathe Easy self-help groups and 20 networking and information days	

<b>Cardiomyopathy Association</b>	<b>£30,000</b>
Third of 3 payments towards the core activities of providing information and support to people and families affected by this disease and also towards educating health professionals	
<b>Challenging Behaviour Foundation</b>	<b>£27,000</b>
First of 3 payments towards the cost of a Family Support Worker for those caring for individuals with severe learning disabilities	
<b>Changing Faces</b>	<b>£30,000</b>
First of 3 payments towards the Adult Service which helps people with face disfigurements deal with their situation	
<b>Clydeside Action on Asbestos</b>	<b>£30,000</b>
Third of 3 payments towards the core costs of providing a full-time service to people diagnosed with an asbestos-related disease	
<b>Combat Stress</b>	<b>£40,000</b>
Second of 2 payments towards the core costs of supporting ex-Service men and women with psychological injuries	
<b>Connect</b>	<b>£30,000</b>
Second of 2 payments towards core costs of developing and expanding the organisation's work with people with aphasia	
<b>Deafblind UK</b>	<b>£35,000</b>
First of 2 payments towards the costs of the Head of Information and Advice Services which supports deafblind people and their carers	
<b>DEBRA</b>	<b>£30,000</b>
Third of 3 payments towards the cost of the new post of Director of Charitable Activities. DEBRA supports people with the very rare and extremely painful genetic condition, Epidermolysis Bullosa	
<b>DEMAND - Design &amp; Manufacture</b>	<b>£20,000</b>
Second of 2 payments towards the cost of the advice/helpline for this charity which provides custom made equipment for people with disabilities	
<b>Down's Syndrome Association</b>	<b>£40,000</b>
Second of 2 payments towards the Aim High project helping young people with the transition to adulthood	
<b>Dyspraxia Foundation</b>	<b>£15,000</b>
First of 2 payments towards core costs for the charity to continue to deliver its level of services through its support groups, the helpline and factsheets	
<b>Dystonia Society</b>	<b>£29,000</b>
Third of 3 payments towards the post of Volunteer and Information Manager, managing volunteer befrienders and out of hours helpline workers	

<b>ERIC</b>	<b>£27,500</b>
First of 3 payments towards ERIC's Information and Helpline Project, to pay for the cost of two Helpline Information Workers and the Specialist Continence Nurse	
<b>Fragile X Society</b>	<b>£35,000</b>
First of 3 payments towards the cost of the Managing Director/Fundraiser post. Fragile X Syndrome is an inherited developmental disorder	
<b>Haemophilia Society</b>	<b>£28,000</b>
Third of 3 payments towards the cost of the Information and Support project providing specialised information and resources	
<b>Huntington's Disease Association</b>	<b>£25,000</b>
Second of 3 payments towards the cost of 2 Regional Care Adviser posts	
<b>Jennifer Trust</b>	<b>£25,000</b>
Second of 2 payments towards the cost of 2 Outreach Nurses to help those suffering from spinal muscular atrophy	
<b>Jo's Cervical Cancer Trust</b>	<b>£30,800</b>
First of 3 payments towards the Services Development Manager who will be responsible for growing the existing services and developing new ones to help those affected by cervical cancer and cervical abnormalities	
<b>KIDS</b>	<b>£28,599</b>
First of 3 payments towards KIDS Direct Short Breaks service supporting disabled children and their families	
<b>Lymphoma Association</b>	<b>£18,000</b>
Second of 3 payments towards the salary of a Patient Support Officer on the Helpline Team	
<b>Macular Disease Society</b>	<b>£30,000</b>
Second of 2 payments towards core funding which will help to develop its services including increasing the number of volunteers and increasing public awareness of the disease	
<b>Maggie's Cancer Caring Centres</b>	<b>£28,000</b>
Third of 3 payments towards the salary of a Development Manager, overseeing the expansion of Maggie's Centres in England and Wales	
<b>Meningitis Research Foundation</b>	<b>£30,000</b>
First of 2 payments towards supporting the helpline, support and befriending services	
<b>National Childbirth Trust</b>	<b>£20,000</b>
Second of 3 payments towards the cost of a part-time co-ordinator for the Special Experiences Register	

<b>OCD Action</b>	<b>£10,000</b>
Second of 2 payments towards core funding to ensure it is meeting its objectives to support people with Obsessive Compulsive Disorder	
<b>PBC Foundation (UK)</b>	<b>£30,000</b>
First of 2 payments towards the core costs of PBCF which supports people with Primary Biliary Cirrhosis , a slow progressive autoimmune liver disease	
<b>Peach</b>	<b>£15,000</b>
Second of 3 payments towards the cost of the support helpline for parents with young children with autism	
<b>Rainbow Trust Children's Charity</b>	<b>£15,000</b>
Second of 3 payments towards the employment costs of a Family Support Worker caring for families who have a child with a life threatening or terminal illness	
<b>REMAP</b>	<b>£20,000</b>
First of 2 payments towards the organisation's core costs which will support the nationwide network of panels who design and manufacture customised equipment to improve the physical independence of disabled people	
<b>SANE</b>	<b>£32,000</b>
Second of 2 payments towards core funding of services, including helpline and befriending for people with mental health issues	
<b>Sense About Science</b>	<b>£14,500</b>
Third of 3 payments towards a project liaison post to develop collaborative work with patient groups, helplines and charities	
<b>Shared Care Network</b>	<b>£20,000</b>
Second of 3 payments towards core funding for the organising body that co-ordinates a network of services providing local short breaks for families with disabled children	
<b>Sick Children's Trust</b>	<b>£16,000</b>
Second of 3 payments towards the cost of the House Operations Manager who oversees the daily operations of seven houses across the country which provide support and accommodation to the families of seriously ill children in hospital	
<b>Special Olympics Great Britain</b>	<b>£20,000</b>
Second of 3 payments towards the Special Olympics Volunteer Support Programme which is dependent on a full-time National Volunteer Development Manager	
<b>Spinal Injuries Association</b>	<b>£32,000</b>
Second of 3 payments towards the cost of the Head of Service Development	
<b>Survivors' Poetry</b>	<b>£25,000</b>
Second of 2 payments towards the core costs of Survivors' Poetry which publishes the poetry of people who have been through, or are currently in, the mental health system	

<b>Target Ovarian Cancer</b>	<b>£30,000</b>
First of 2 payments towards core costs	
<b>Thrive</b>	<b>£27,236</b>
Second of 2 payments towards a two-year programme to provide a structured therapeutic horticultural programme as part of the recovery for adults recovering from a stroke	
<b>Tourettes Action</b>	<b>£21,399</b>
Second of 2 payments towards a two-year pilot project for a part-time Groups Support Officer to develop a network of support groups in central/northern England	
<b>Unique</b>	<b>£25,000</b>
First of 3 payments towards core funding to support the development and increase the capacity of the charity in the face of rising demand for support for families of children with a rare chromosome disorder	
<b>WellChild</b>	<b>£27,500</b>
First of 3 payments towards a new WellChild Children's Nurse, one of a UK wide team who support families with children who are seriously ill, who are able to, can leave hospital and be cared for at home	
<b>TOTAL GRANTS TO HEALTH AND DISABILITY CHARITIES</b>	<b>£1,334,534</b>

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#### **GRANTS TO SOCIAL WELFARE CHARITIES**

<b>Activenture</b>	<b>£25,000</b>
First of 2 payments towards the Volunteers Officer post to deliver activities for disabled young people	
<b>After Adoption</b>	<b>£20,000</b>
First of 2 payments towards the core adoption services to strengthen their youth services for vulnerable children	
<b>AgeCare</b>	<b>£15,000</b>
Third of 3 payments towards the cost of a Dementia Care Training Specialist to develop training courses for AgeCare staff and others	
<b>Association of Charitable Foundations</b>	<b>£4,515</b>
One-off payment towards the Association, the representative body for charitable trusts and foundations	
<b>Brendoncare Foundation</b>	<b>£30,000</b>
Third of 3 payments towards the cost of expanding social clubs for older people in Hampshire, Dorset, Wiltshire, West Sussex and Berkshire	
<b>British Schools Exploring Society</b>	<b>£20,000</b>
First of 2 payments to fund the Leaders' Fund and the Bursaries Fund to enable young people to take part in challenging scientific expeditions in remote wilderness areas	

<b>Calvert Trust Lake District</b>	<b>£20,000</b>
First of 2 payments towards the Extending Equal Chance programme which aims to expand CT's services across the country - the provision of enjoyable and affordable outdoor adventure activities and courses for disabled people and their carers	
<b>Care &amp; Repair England</b>	<b>£30,000</b>
First of 2 payments towards the core costs of the organisation which will help to enable disadvantaged older people to live independently in their own homes through practical help with essential repairs and adaptations	
<b>Chance UK</b>	<b>£30,000</b>
First of 3 payments towards the costs of the Training and Communications Manager, whose role will be to recruit more mentors to work closely with children between 5 and 11 with serious behavioural problems	
<b>Child Poverty Action Group</b>	<b>£30,000</b>
First of 3 payments towards the Advice Line which will ensure that low income families receive the benefits to which they are entitled and help secure their children a life free from poverty	
<b>Contact a Family</b>	<b>£30,000</b>
First of 2 payments towards core costs in order to increase their ability to respond efficiently and effectively to the needs of families with disabled children throughout the UK	
<b>Contact the Elderly</b>	<b>£25,000</b>
Second of 3 payments towards the recruitment of additional resources to further increase Contact the Elderly's national coverage, regional teams and central office	
<b>CROP - Coalition for the Removal of Pimping</b>	<b>£30,000</b>
First of 2 payments towards the costs of a Fundraiser and a Finance Officer for this organisation which supports families affected by sexual exploitation and internal trafficking within the UK	
<b>Crossroads Care</b>	<b>£30,000</b>
One-off payment towards the core costs of the organisation to ensure they can not only continue to offer breaks to carers but also to expand and reach more carers	
<b>CSV Community Service</b>	<b>£30,000</b>
First of 2 payments towards the cost of RSVP's work, which is to reduce isolation and loneliness in older people by providing opportunities to volunteer, in five deprived or isolated localities in Manchester, Middlesbrough, Coventry, North Yorks and Devon	
<b>Dance United</b>	<b>£30,000</b>
First of 2 payments towards the core costs of the company which uses professional contemporary dance training to engage with marginalised young people	
<b>Dementia Advocacy Network</b>	<b>£33,334</b>
Second of 2 payments towards the full cost of developing the network to support 300 members throughout the UK	

<b>Depaul UK</b>	<b>£40,000</b>
First of 3 payments towards continuation funding of the post of National Participation Manager, whose aim it is to increase the involvement of all their service users (homeless and disadvantaged young people) in the running and development of the charity	
<b>Derwen College</b>	<b>£8,300</b>
Second of 2 payments towards the revenue shortfall of a new cafe which provides work training for young people with a learning disability	
<b>Elizabeth Finn Care</b>	<b>£19,000</b>
Third of 3 payments towards the Volunteer Case Consultants development programme which trains volunteers to assist individuals in need to access financial support	
<b>Emmaus UK</b>	<b>£40,000</b>
First of 3 payments towards the costs of the Director's post. Emmaus is a federation of communities that enable people to move on from homelessness	
<b>Families Need Fathers</b>	<b>£25,000</b>
Second of 3 payments towards core funding to help both parents in a separated family to maintain a meaningful relationship with their children	
<b>FareShare</b>	<b>£30,000</b>
Third of 3 payments towards the salaries of the National Development Manager and National Operations Manager. FareShare supplies surplus 'fit for purpose' products from the food and drink industry to organisations working with disadvantaged people	
<b>Farms for City Children</b>	<b>£25,000</b>
Third of 3 payments towards the new full-time post of Deputy to the Chief Executive	
<b>Foyer Federation</b>	<b>£30,000</b>
First of 2 payments towards core costs which will enable Foyer to develop new ideas, translate them into workable projects and programmes and fundraise to test their applications at a local level through partners	
<b>Grandparents Plus</b>	<b>£25,000</b>
First of 2 payments towards growing the 'Network' of grandparents and other family carers who are raising children who cannot live with their parents	
<b>HACT - Housing Association</b>	<b>£15,000</b>
Second of 2 payments towards the cost of a housing and intergenerational project bringing older and younger people together to reduce isolation and loneliness	
<b>Home-Start UK</b>	<b>£50,000</b>
Second of 2 payments towards the core funding of the organisation to sustain their essential services helping families with children under the age of 5 in times of stress and inability to cope by developing and strengthening their volunteer base and volunteering practice	

<b>In Kind Direct</b>	<b>£30,000</b>
Third of 3 payments towards core funding to help them double the number of charities benefitting from surplus goods from manufacturers. Typical goods include clothes, toys, toiletries and domestic cleaning materials, stationery and office products	
<b>Independent Age</b>	<b>£37,000</b>
Third of 3 payments towards the cost of recruiting 50 Organising Volunteers who will recruit and manage 12 volunteers each who will support and befriend older people	
<b>Jubilee Sailing Trust</b>	<b>£20,000</b>
Second of 3 payments towards the core costs of providing integrated tall ship sailing for able-bodied and disabled people	
<b>Law Centres Federation</b>	<b>£30,000</b>
Second of 2 payments towards LCF Young People's Programme, in particular the National Programme Manager's post	
<b>Leap Confronting Conflict</b>	<b>£30,000</b>
First of 3 payments towards a new project 'Improving Prospects' which aims to work with 150 NEET (not in employment, education or training) and vulnerable young people through a three-month training programme	
<b>Media Trust</b>	<b>£20,000</b>
First of 2 payments towards core costs for the salary of the Senior Manager responsible for ensuring the continuation and growth of Media Trust's work with communities and small charities across England	
<b>Medical Foundation for the Care of Victims of Torture</b>	<b>£32,000</b>
First of 3 payments towards the work of one of the Adult Therapy & Assessment team members who helps survivors of torture	
<b>National Benevolent Fund for the Aged</b>	<b>£20,000</b>
Second of 2 payments towards the cost of the Breaks Away programme providing holidays for 1,000 older people	
<b>Outward Bound Trust</b>	<b>£25,000</b>
First of 3 payments towards leadership and management training of staff and to part fund the creation of the role of Scottish Director	
<b>People First (Self Advocacy)</b>	<b>£20,000</b>
Second of 2 payments towards core funding for the Director's work. People First is a user led organisation which raises awareness and campaigns for the rights of people with learning disabilities	
<b>Pilotlight</b>	<b>£25,000</b>
First of 3 payments towards the salary of the Head of Project Management's post who will help to develop and grow the organisation. 'Pilotlighters' are senior business people who volunteer by providing coaching to charities to help them to become more efficient and sustainable	

<b>Place2Be</b>	<b>£50,000</b>
Third of 3 payments towards the cost of posts involved in recruiting, training and retention of Volunteer Counsellors to provide therapeutic and emotional support to children in school	
<b>REACH</b>	<b>£40,000</b>
Third of 3 payments towards the cost of a full-time post to manage the UK volunteer placement team. REACH places skilled volunteers with charities that need their expertise	
<b>React</b>	<b>£14,560</b>
Second of 3 payments towards the salary of a part-time Finance Officer who will help with the bookkeeping and accounting needs of the organisation. React provides grants to buy essential equipment, and clothing for children with terminal or life-limiting illnesses	
<b>Reader Organisation</b>	<b>£25,000</b>
First of 3 payments towards the post of National Operations Manager, who will develop and manage a national structure of three Get Into Reading projects throughout the UK	
<b>Refugee Action</b>	<b>£15,000</b>
Second of 2 payments towards the post of National Volunteer Co-ordinator working with UK and refugee volunteers	
<b>Skill Force Development</b>	<b>£25,000</b>
Second of 2 payments towards the core costs of Skill Force who work with up to 10,000 disadvantaged young people to increase their life chances	
<b>STOP (Trafficking UK)</b>	<b>£30,000</b>
First of 2 payments towards the core costs of the organisation which supports victims of trafficking	
<b>Streetwise Opera</b>	<b>£20,000</b>
Second of 2 payments towards core funding to develop its plans for expansion to help people who have experienced homelessness, through music-making of the highest quality	
<b>Tall Ships Youth Trust</b>	<b>£3,500</b>
Second of 2 payments towards the Young People First programme - extending the project's reach to those young people who are disabled and/or have special needs	
<b>TaxAid</b>	<b>£15,000</b>
Second of 2 payments towards the cost of casework for complex, long-running cases where older people are not able to act for themselves	
<b>TaxHelp for Older People (TOP)</b>	<b>£25,000</b>
Second of 2 payments towards the salaries of two part-time Regional Co-ordinators and one call centre staff member	

<b>Voice</b>	<b>£35,000</b>
Third of 3 payments towards the cost of employing a marketing consultant and external courses consultant to train more key workers in advocacy for children in care	
<b>Waterways Trust</b>	<b>£25,000</b>
Second of 2 payments towards core funding to protect and improve the UK's canals and rivers	
<b>Who Cares? Trust</b>	<b>£25,000</b>
Second of 2 payments towards core funding which will help to fund ongoing activities that support young people in care	
<b>Working Families</b>	<b>£20,000</b>
First of 2 payments towards the core costs of the Waving not Drowning network of parents of disabled children, who work or wish to work	
<b>Youth at Risk</b>	<b>£30,000</b>
First of 2 payments Towards the Volunteer Co-ordinator post who will act as a life coach/partner and provide support to young people	
<b>Zaccaeus 2000 Trust (Z2K)</b>	<b>£30,000</b>
First of 2 payments towards core costs. Z2K aims to alleviate poverty by helping those on low incomes to manage debt when faced by court action	
<b>TOTAL GRANTS TO SOCIAL WELFARE CHARITIES</b>	<b>£1,457,209</b>

#### **GRANTS TO ARTS AND HERITAGE CHARITIES**

<b>Artangel</b>	<b>£20,000</b>
First of 2 payments towards the Artangel Collection which is a national initiative to make moving image works by artists available to regional museums	
<b>Association of British Choral Directors</b>	<b>£30,000</b>
Third of 3 payments towards expansion of courses and major national choral music programmes	
<b>Battersea Art Centre</b>	<b>£30,000</b>
Second of 2 payments towards core funding which will enable BAC to develop its capacity to produce and tour professional theatre aimed at children and young people	
<b>Benslow Music Trust</b>	<b>£10,000</b>
Second of 2 payments towards core costs of the loan scheme which provides high quality musical instruments to talented young people	
<b>Birmingham Royal Ballet</b>	<b>£25,000</b>
First of 2 payments towards the creation of four new classical ballets which will become income and audience generating assets to the Company	

<b>British Youth Opera</b>	<b>£30,000</b>
First of 2 payments towards core costs that will enable the organisation to continue to provide training and coaching to talented young opera singers	
<b>Charles Dickens Museum</b>	<b>£20,000</b>
Second of 2 payments towards core costs in order for the museum to be adequately staffed and equipped for the bicentenary of Charles Dickens' birth in 2012	
<b>City of London Sinfonia</b>	<b>£30,000</b>
First of 2 payments towards core costs of the Orchestra	
<b>Contemporary Art Society</b>	<b>£25,000</b>
First of 3 payments towards the cost of a member of the curatorial staff	
<b>Craft Central</b>	<b>£25,000</b>
Second of 2 payments towards core funding to continue supporting craftspeople	
<b>Ditchling Museum</b>	<b>£15,000</b>
Second of 2 payments towards core funding to enable the museum to continue its work during redevelopment	
<b>English National Opera</b>	<b>£30,000</b>
First of 3 payments towards the newly created role of Director of New Media and Participation who is responsible for the development of new audiences	
<b>Foundling Museum</b>	<b>£20,000</b>
Second of 2 payments towards the cost of the Front of House Co-ordinator post, which recruits, supports and manages the museum's large volunteer team	
<b>Hereford Cathedral Perpetual Trust</b>	<b>£20,000</b>
One-off payment towards the restoration of the South Nave Clerestory	
<b>Historic Chapels Trust</b>	<b>£25,000</b>
First of 2 payments towards core costs of the organisation	
<b>Holburne Museum of Art</b>	<b>£25,000</b>
First of 3 payments towards the newly created Commercial and Marketing Manager's post in order to develop new revenue streams	
<b>House of Illustration</b>	<b>£25,000</b>
Second of 2 payments towards the post of a dedicated full-time administrator to support the Project Director	
<b>Jewish Museum London</b>	<b>£25,000</b>
Second of 2 payments towards core funding during the major redevelopment project	
<b>Lakeland Arts Trust</b>	<b>£20,000</b>
Second of 2 payments towards core costs of Abbot Hall Art Gallery	

<b>Live Music Now</b>	<b>£25,000</b>
First of 2 payments towards core costs to implement the second phase of the organisational review. Live Music Now aims to bring music of the highest quality to disadvantaged people who might not normally have access to it.	
<b>Music of Life Foundation</b>	<b>£10,000</b>
Second of 2 payments towards core funding towards providing high quality musical education and performance opportunities for young people with disabilities and special needs	
<b>National Gallery</b>	<b>£20,000</b>
Second of 3 payments towards the Outreach Programme, especially Ageing Creatively for older people	
<b>National Youth Choirs of Great Britain</b>	<b>£17,500</b>
First of 2 payments towards the new role of Development Director who will help the organisation to grow	
<b>National Youth Orchestra of Great Britain</b>	<b>£20,000</b>
Third of 3 payments towards core funding to support the Orchestra's new creative vision	
<b>National Youth Theatre</b>	<b>£50,000</b>
Third of 3 payments towards the cost of the creative development of summer season productions	
<b>Oily Cart</b>	<b>£25,000</b>
First of 3 payments towards the Production Manager's post. Oily Cart create innovative, multi-sensory and highly interactive productions for the very young and young people with profound and multiple learning disabilities	
<b>Opera Group</b>	<b>£30,000</b>
First of 2 payments towards the salary and associated costs of a General Manager	
<b>Opera North</b>	<b>£30,000</b>
First of 2 payments towards core costs	
<b>Pallant House Gallery</b>	<b>£10,000</b>
First of 2 payments towards core costs to enable the Gallery to continue to offer exhibitions and community programmes	
<b>Royal Academy Trust</b>	<b>£30,000</b>
One-off payment towards the costs of the upgrade of the environmental system in the historic library and print room	
<b>Royal Court Theatre</b>	<b>£20,000</b>
First of 2 payments towards core costs in support of the Royal Court's Literary Manager	
<b>Royal National Theatre</b>	<b>£30,000</b>
Second of 2 payments towards core funding	

<b>Samling Foundation</b>	<b>£25,000</b>
First of 2 payments towards core costs that will help the organisation deliver their Masterclass Programme to young singers and pianists	
<b>Soho Theatre</b>	<b>£20,000</b>
Second of 2 payments towards The HUB - a new programme of work that puts artists at the forefront of the Company's commissioning process and programme design	
<b>Southbank Sinfonia</b>	<b>£9,270</b>
First of 2 payments towards two new partnerships within the Side by Side development programme whereby leading arts practitioners work alongside the orchestra.	
<b>Watts Gallery</b>	<b>£25,000</b>
One-off payment towards core funding from when the Gallery re-opens after major restoration.	
<b>Wells Cathedral</b>	<b>£10,000</b>
One-off payment towards the conservation of the 14th century Jesse Window	
<b>York Minster Fund</b>	<b>£10,000</b>
Third of 3 payments towards the conservation, restoration and interpretation of the 15th century Great East Window	
<b>TOTAL GRANTS TO ARTS AND HERITAGE CHARITIES</b>	<b>£866,770</b>

#### **GRANTS TO CONSERVATION CHARITIES**

<b>Association of Rivers Trusts</b>	<b>£25,000</b>
Third of 3 payments towards core funding to support community driven river initiatives across the UK	
<b>BioRegional Development Group</b>	<b>£30,000</b>
Second of 2 payments towards the Director's work of championing sustainable enterprise, forging new partnerships, initiating and delivering practical solutions for sustainability	
<b>BirdLife International</b>	<b>£20,000</b>
Second of 3 payments towards supporting the implementation of BirdLife's Climate Change Programme	
<b>British &amp; Irish Hardwoods Trust</b>	<b>£25,000</b>
Second of 3 payments towards core funding and in particular a full-time Development Officer post	
<b>Durrell Wildlife Conservation Trust</b>	<b>£20,000</b>
Second of 2 payments towards the implementation and evaluation of endangered species monitoring that involves local communities in Madagascar	

<b>Earthwatch Institute</b>	<b>£20,000</b>
Second of 3 payments to train 18 volunteers from Kenya, Tanzania and Mozambique to research the carbon sequestration value of mangrove plantations	
<b>Elephant Family</b>	<b>£12,000</b>
Third of 3 payments towards the cost of creating a structured internship programme to facilitate fundraising for the protection of wild and domesticated Asian elephants	
<b>Farm-Africa</b>	<b>£25,466</b>
First of 2 payments towards the costs of the salaries of key staff in the Advisory and Support Unions in four target regions and one Programme Coordinator's post	
<b>Fauna &amp; Flora International</b>	<b>£30,000</b>
First of 2 payments towards the core costs of the Africa programme	
<b>Forum for the Future</b>	<b>£25,000</b>
First of 2 payments towards the core costs of the organisation which works with business and government on environmental and sustainability issues	
<b>Friends of the Earth Trust</b>	<b>£30,000</b>
Second of 3 payments towards the Get Serious About CO2 project, in particular the Project Manager's post	
<b>Global Canopy Programme</b>	<b>£30,000</b>
First of 2 payments towards core costs to help GCP continue its activities across science, policy, business, finance, communities and communications programmes	
<b>Marine Conservation Society</b>	<b>£25,000</b>
Second of 3 payments towards core funding which will support the Head of Conservation post	
<b>Marine Stewardship Council</b>	<b>£25,000</b>
Third of 3 payments towards the cost of expanding and accelerating the fisheries certification programme	
<b>Orangutan Foundation</b>	<b>£17,000</b>
First of 2 payments towards core costs for their programmes in Indonesia	
<b>Red Squirrel Survival Trust</b>	<b>£30,000</b>
Second of 3 payments towards the salary of a Programme Administrator	
<b>Royal Botanic Gardens Kew</b>	<b>£22,500</b>
Second of 3 payments towards funding a UK-based Restoration Ecology Co-ordinator	
<b>Small Woods Association</b>	<b>£25,000</b>
First of 2 payments towards core costs which will help the organisation develop new strategies for raising income from trading through a social enterprise	

<b>Wildscreen Trust</b>	<b>£20,000</b>
Second of 2 payments towards the continued development of the Arkive database, a permanent digital library for films and photographs of endangered species	

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<b>TOTAL GRANTS TO CONSERVATION CHARITIES</b>	<b>£456,966</b>
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**GRANTS TO OVERSEAS CHARITIES**

<b>Rachel Swart Fund</b>	<b>£35,000</b>
Second of 2 payments towards core funding. Rachel Swart Fund provides motorised wheelchairs and devices for specialised postural support to help disabled people lead full, active and independent lives	

**16 grants of joint funding in collaboration with the Baring Foundation:**

<b>Afrikids</b>	<b>£19,276</b>
Second and third of 6 payments towards capacity building of AfriKids Ghana and local partners to prevent north-south migration in Ghana, including direct resettlement and rehabilitation of displaced children	

<b>Akina Mama wa Afrika</b>	<b>£20,941</b>
Third of 3 payments towards the cost of building African women's leadership to address forced migration	

<b>Anti-Slavery International</b>	<b>£24,365</b>
Second of 3 payments towards local partners being enabled to bring together different groups of displaced migrants in constructive problem solving dialogue, while also advocating for their rights	

<b>Article 19: Global Campaign for Free Expression</b>	<b>£36,331</b>
First of 3 payments towards working with Article 19 East Africa and Kenya's Internal Displacement Policy and Advocacy Centre to address the needs of displaced people with regard to freedom of information and freedom of expression	

<b>Busoga Association UK</b>	<b>£30,063</b>
First of 3 payments towards working with Women's Emancipation Initiatives (WEI) to improve the status of women and girls in Oyam District Uganda through providing access to education and other resources	

<b>Camfed International</b>	<b>£29,041</b>
Third of 3 payments towards supporting the education and economic empowerment of displaced children and young women in rural Zimbabwe	

<b>Children in Crisis</b>	<b>£26,261</b>
First of 3 payments towards a project in partnership with Eben Ezer Ministry International training teachers on the Plateau of South Kivu in the Democratic Republic of Congo	

<b>Homeless International</b>	<b>£25,143</b>
First of 4 payments towards a project partnership with The Centre for Community Initiatives supporting slum dwellers in Dar es Salaam, Tanzania	
<b>Homeless World Cup Federation</b>	<b>£11,219</b>
First and second of 4 payments towards the Girls Kick It project in Uganda for young women living in internally displaced persons camps in the Gulu and Paicho	
<b>Link Community Development</b>	<b>£25,408</b>
Second of 3 payments towards the improvement of the standard of education for children affected by conflict and support the resettlement of displaced communities in Katakwi, Uganda	
<b>Minority Rights Group International</b>	<b>£24,472</b>
Third of 3 payments towards a solution to the enforced displacement of the Endorois in Kenya	
<b>One World Action</b>	<b>£22,923</b>
Third of 3 payments towards the cost of strengthening the capacity of refugee communities to respond to sexual and gender-based violence	
<b>Peace Direct</b>	<b>£21,724</b>
Third of 3 payments towards the cost of improving the human security of internally displaced persons in Eastern Democratic Republic of Congo	
<b>St Matthew's Children's Fund Ethiopia</b>	<b>£22,264</b>
Second of 3 payments towards developing the capacity of the displaced Negede Woitto community to develop social and economic programmes and advocate for their rights	
<b>World Association of Girl Guides and Girl Scouts</b>	<b>£4,798</b>
Third of 3 payments to recruit and train 35 volunteer guide leaders and to develop and deliver training programmes to refugees and displaced girls and young women in the Republic of Chad	
<b>Joint International Workshop</b>	<b>£29,020</b>
One-off payment towards the cost of a workshop held in Uganda in November 2010 for African staff from organisations within the current grants programme operating in various sub-Saharan countries	
<b>Plus four grants</b>	<b>£2,000</b>
Paid to finalists of the Joint International Development Programme towards the cost of developing proposals and attending the Committee meeting in October 2010	
<b>TOTAL GRANTS TO OVERSEAS CHARITIES</b>	<b>£410,249</b>
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<b>TOTAL OF 183 GRANTS PAID IN THE YEAR ENDED 31 MARCH 2010</b>	<b>£4,525,728</b>
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<b>AVERAGE GRANT</b>	<b>£24,731</b>
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## Statement of Financial Activities For the Year Ended 31 March 2011

	Total accumulated funds	
	2011	2010 Restated
£'000	£'000	£'000
<b>Incoming resources</b>		
Incoming resources from generated funds		
Investment income	1,340	2,197
<b>Total incoming resources</b>	<u>1,340</u>	<u>2,197</u>
<b>Resources expended</b>		
Costs of generating funds		
Investment management costs	116	114
Charitable activities		
Grants to charities	4,526	4,194
Costs in support of grant-making	<u>479</u>	<u>439</u>
Total charitable activities	5,005	4,633
Governance costs	<u>49</u>	<u>43</u>
<b>Total resources expended</b>	<u>5,170</u>	<u>4,790</u>
<b>Net outgoing resources before other recognised gains</b>	(3,830)	(2,593)
<b>Other recognised gains</b>		
Gains on investment assets	4,992	26,561
<b>Net movement in funds</b>	<u>1,162</u>	<u>23,968</u>
<b>Reconciliation of funds</b>		
Total funds at 1 April 2010	116,824	92,856
<b>Total funds at 31 March 2011</b>	<u>117,986</u>	<u>116,824</u>

## Balance Sheet at 31 March 2011

	31 March 2011	31 March 2010
£'000	£'000	£'000
<b>Fixed Assets</b>		
Tangible Fixed Assets	767	770
Investments	115,569	112,418
Social Investment	<u>250</u>	<u>250</u>
<b>Total Fixed Assets</b>	<u>116,586</u>	<u>113,438</u>
<b>Current Assets</b>		
Debtors	138	133
Cash at bank and in hand	<u>1,314</u>	<u>3,343</u>
<b>Total Current Assets</b>	<u>1,452</u>	<u>3,476</u>
<b>Current Liabilities</b>		
Creditors falling due within one year	<u>(52)</u>	<u>(90)</u>
<b>Net Current Assets</b>	<u>1,400</u>	<u>3,386</u>
<b>Net Assets</b>	<u>117,986</u>	<u>116,824</u>
<b>Funds</b>		
Unrestricted Capital	116,793	115,689
Permanent Endowment	<u>1,193</u>	<u>1,135</u>
<b>Total Funds</b>	<u>117,986</u>	<u>116,824</u>

## Statement from the Trustees of The John Ellerman Foundation

The summary financial statement contains information from the Statement of Financial Activities and the Balance Sheet for the year ended 31 March 2011, but is not the full statutory Report and Accounts. The full financial statements were approved by the Trustees on 14 July 2011 and subsequently submitted to the Charity Commission. The auditor has issued an unqualified report on the full financial statements and on the consistency of the Trustees' Annual Report with those financial statements.

Signed on behalf of the Trustees:



RICHARD EDMUNDS  
Chairman of Trustees

14 July 2011



DOMINIC CALDECOTT  
Chairman, Finance Committee

14 July 2011

## Independent Auditors' statement to the Trustees of The John Ellerman Foundation

We have examined the summary financial statement for the year ended 31 March 2011, which comprises the Statement of Financial Activities and the Balance Sheet.

### Respective responsibilities of Trustees and the auditor

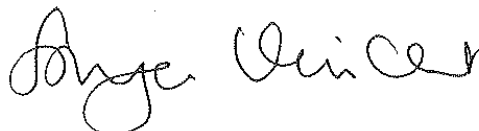
The Trustees are responsible for preparing the summary financial statement in accordance with applicable United Kingdom law and the recommendations of the charities SORP. Our responsibility is to report to you our opinion on the consistency of the summary financial statement within the Annual Report and with the full audited financial statements and the Trustees' Annual Report. We also read the other information contained in the Annual Report and consider the implications for our report if we become aware of any apparent misstatements or material inconsistencies with the summary financial statement.

### Basis of opinion

We conducted our work in accordance with Bulletin 2008/3 issued by the Auditing Practices Board. Our report on the Foundation's full annual financial statements describes the basis of our opinion on those financial statements and on the Trustees' Annual Report.

### Opinion

In our opinion the summary financial statement is consistent with the full financial statements and Trustees' Annual Report of The John Ellerman Foundation for the year ended 31 March 2011. We have not considered the effects of any events between the date on which we signed our report on the full annual financial statements on 15 July 2011 and the date of this statement.



SAYER VINCENT  
Chartered Accountants and Registered Auditors, London

15 July 2011